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# Genetic Variation and Expression of the IRF5 Gene in Autoimmune Diseases

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#### Abstract

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The interferon regulatory factor 5 (IRF5) gene encodes a transcription factor that plays an important role in the innate as well as in the cell-mediated immune response. The IRF5 gene has received considerable attention since it was shown to be associated with two autoimmune diseases; systemic lupus erythematosus (SLE) and rheumatoid arthritis (RA). The aim of this thesis was to examine if IRF5 is associated with other autoimmune diseases and to investigate the role of the genetic variation of IRF5. In the first study a set of common polymorphisms in IRF5 were analyzed for their association with two subgroup of inflammatory bowel disease (IBD); Crohn's diseases (CD) and ulcerative colitis (UC). A strong signal of association of IRF5 with IBD was found. The most strongly associated polymorphism is a 5 base pair (bp) insertiondeletion (indel) in the promoter region of the IRF5 gene. The association was detected within both UC and CD, and appeared to be stronger in UC. In the second study we investigated the association of IRF5 with multiple sclerosis (MS). A similar set of polymorphisms as in the IBD study were genotyped in a cohort of MS patients and controls. The same polymorphisms that were associated with IBD were also found to be associated with MS. In the third study, we performed a comprehensive investigation of the IRF5 gene to detect most of the polymorphisms in the gene, and to determine to what extent they account for the association signals obtained from the gene. IRF5 was sequenced and 34 new polymorphisms were identified. Twenty seven of these, and 20 previously known SNPs in IRF5 were genotyped in an SLE case-control cohort. We found that only two polymorphisms, the 5bp indel and a SNP downstream of IRF5, account for the association signal from all the remaining markers in the IRF5 gene, and that these two polymorphisms are independently associated with SLE. Interestingly, in our studies on IBD and MS, we only observed the signal from the 5bp indel polymorphism as a risk factor for IBDs. In the fourth study the two independent risk alleles in IRF5, were tested for their association with primary Sjögren's syndrome (pSS). In this study we also included one SNP in the STAT4 gene, since STAT4 had recently been shown to be associated with SLE. Both risk factors in IRF5 and STAT4 were found to be associated with pSS.

The regulation of expression of  $IRF\bar{5}$  was also investigated in the first three studies. We observed allele-specific differences in protein binding as well as increased binding of the transcription factor SP1 to the 5bp risk allele. We also detected increased expression of the  $IRF\bar{5}$  mRNA from a promoter containing the risk allele.

Taken together, the results of our studies suggest a general function for *IRF5* as a regulator of the autoimmune response, where the 5bp indel is associated with IBD, MS, SLE and pSS. The additionally polymorphisms, which account for the remaining association signal obtained with SLE and pSS, may contribute to the disease manifestations that are specific for rheumatic diseases. Our studies add to the evidence that there are genes or pathways that are common in multiple autoimmune diseases, and that the type I interferon system is likely to be involved in the development of these diseases.

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To my children, Guðrún, Þorvarður and Kristján

# List of papers

This thesis is based on the following papers, which are referred to in the text by their roman numerals:

- I Dideberg, V, Kristjansdottir, G, Milani, L, Libioulle, C, Sigurdsson, S, Louis, E, Wiman, A C, Vermeire, S, Rutgeerts, P, Belaiche, J, Franchimont, D, Van Gossum, A, Bours, V, Syvanen, A C. <u>An insertion deletion polymorphism in the Interferon Regulatory Factor 5 (IRF5) gene confers risk of inflammatory bowel diseases</u> Hum Mol Genet. (2007) 16(24) 3008-16. Epub 2007 Sep 19.
- II Gudlaug Kristjansdottir\*, Johanna K. Sandling\*, Alessandro Bonetti, Izaura M. Roos, Lili Milani, Chuan Wang, Sigrun Gustafsdottir, Snaevar Sigurdsson, Anders Lundmark, Pentti J. Tienari, Keijo Koivisto, Irina Elovaara, Tuula Pirttilä, Mauri Reunanen, Leena Peltonen, Janna Saarela, Jan Hillert, Tomas Olsson, Ulf Landegren, Antonio Alcina, Oscar Fernández, Laura Leyva, Miguel Guerrero, Miguel Lucas, Guillermo Izquierdo, Fuencisla Matesanz, and Ann-Christine Syvänen. Interferon Regulatory Factor 5 (IRF5) Gene Variants are Associated with Multiple Sclerosis in Three Distinct Populations J Med Genet. (2008) 45(6) 362-9. Epub 2008 Feb 19.
- III Sigurdsson, S, Goring, H H, Kristjansdottir, G, Milani, L, Nordmark, G, Sandling, J, Eloranta, M L, Feng, D, Sangster-Guity, N, Gunnarsson, I, Svenungsson, E, Sturfelt, G, Jonsen, A, Truedsson, L, Barnes, B J, Alm, G, Ronnblom, L, Syvanen, A C. Comprehensive Evaluation of the Genetic Variants of Interferon Regulatory Factor 5 Reveals a Novel 5bp Length Polymorphism as Strong Risk Factor for Systemic Lupus Erythematosus Hum Mol Genet. (2008) 17(6) 872-81. Epub 2007 Dec 6.
- IV Gunnel Nordmark\*, **Gudlaug Kristjansdottir**\*, Elke Theander, Per Eriksson, Johan G Brun, Chuan Wang, Leonid Padyukov, Lennart Truedsson, Gunnar Alm, Maija-Leena Eloranta, Roland Jonsson, Lars Rönnblom and Ann-Christine Syvänen. <u>Additive effects of the major risk alleles of IRF5 and STAT4 in primary Sjögren's Syndrome</u> Genes Immun. (2009) 10(1) 68-76. Epub 2008 Dec 18.

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## **Abbreviations**

ANA Antinuclear antibody

ddNTP Dideoxynucleotide triphosphate

DNA Deoxyribonucleic acid

dNTP Deoxynucleotide triphosphate

CD Crohn's disease

FP Fluorescence polarization GWA Genome wide association

HapMap The haplotype mapping project HLA Human leukocyte antigen IBD Inflammatory bowel disease

IFN Interferon

Indel Insertion/deletion

IRF Interferon regulatory factor

ISRE Interferon stimulated response element

LD Linkage disequilibrium

MHC Major histocompatibility complex

MS Multiple sclerosis

NIPC Natural interferon producing cells

OR Odds ratio

PCR Polymerase chain reaction
PDC Plasmacytoid dentritic cells
pSS Primary Sjögren's syndrome

RNA Ribonucleic acid

SSA/Ro Sjögren's syndrome antigen A
SSB/La Sjögren's syndrome antigen B
SLE Systemic lupus erythematosus
SNP Single nucleotide polymorphism
SSS Secondary Sjögren's syndrome

TLR Toll like receptor UC Ulcerative colitis UTR Untranslated region

## Introduction

Modern genetics began with the work of an Austrian monk, Gregor Mendel during the mid 19th century. Mendel observed that organisms inherit traits in a discrete manner following distinct laws. These laws were later named after him, the Mendelian laws of inheritance. The biological basis for inheritance remained more or less unknown until 1944, when DNA was found to be the carrier of genetic information<sup>1</sup>. The characterization of DNAs structure as a double helix, and the explanation how this double helix both contains and transmit genetic information was achieved nearly ten years later, by James Watson and Francis Crick<sup>2; 3</sup>. Since then, there has been a remarkable achievement in the understanding of genetic variation. The reference sequence of the human genome was released in April 2003, when the International Human Genome Sequencing Consortium announced the successful completion of the Human Genome Project<sup>4</sup>. In September 2007, about four years after the human genome sequence was published, the complete six-billion-bases genome of an individual human was unveiled for the first time. This was the genome of J. Craig Venter<sup>5</sup>, former president and founder of Celera Genomics. The field of individual genotyping continues to expand with an enormous speed. In January 2008, a project called "1000 Genomes Project" (www.1000genomes.org) was established. The scientific goal of this project is to sequence the human genomes of over 1000 individuals and by that map all the variants found in the human genome. The overall aim of the project is to provide a detailed and medically useful map of human genetic variation.

## Genetic variation

It has been confirmed by the whole genome sequencing projects, that any two unrelated people are more than 99 percent the same at the nucleotide level.

Most of the genetic differences that vary among people do not have any effect on the individual, although some may explain individual differences in disease susceptibility and response to environmental factors. The effort to find and understand these genetic differences is therefore both an exciting and an important task.

Genetic polymorphisms include single nucleotide polymorphisms (SNPs), insertion-deletion polymorphisms (indels), repetitive sequences, copy number polymorphisms and other complex forms of DNA variation.

## Single nucleotide polymorphisms

The most common form of human genetic variation are the SNPs, that occur in about 1:1000 bases in the human DNA. This type of variation is often defined as a position in the genome where a single nucleotide differs between individuals and that this change is seen in at least 1% of the population. The SNP itself can either directly affect the function of a gene or be useful as a marker in search for such functional variation. The ratio of non-synonymous SNPs to synonymous SNPs in the coding region of an individual genome has been estimated to be nearly 1:1. The majority of the non-synonymous SNPs are believed to be functionally neutral<sup>6</sup>.

Currently more than 14.7 million SNPs are registered in NCBI's SNP database and about half of them are validated (www.ncbi.nlm.nih.gov/SNP; build 129). The number of verified SNPs available in freely accessible public databases is increasing, mainly because of the efforts of the international HapMap project (www.hapmap.org), which was established in 2002.

#### Insertions/Deletions

The second most common form of human genetic variation are the indels<sup>7</sup>. It has been predicted that indels represent between 16% and 25% of all sequence polymorphisms in humans<sup>8</sup>. Most indels are short, only 1-6 nucleotides in length. Enrichment of indels with a size that is a multiple of three, have been observed in exons. Indels have been found to be underrepresented in coding regions compared to the entire genome, and in protein-coding regions they are typically located in regions that encode the N- or C-terminus<sup>6</sup>.

Indels have been shown to be in linkage disequilibrium (LD) with common SNPs, and to have a similar population distribution<sup>7</sup>. They can, in the same way as SNPs, be used as markers in genetic studies or even be a functional variant of a gene.

#### Repetitive sequences

Repetitive sequences are grouped into two main types, depending of their occurrence in the DNA; tandem repeats which are repeated directly following each other, and interspersed repeats which are found across the genome. The interspersed repeats are further divided into two classes depending on their size, SINES (Short Interspersed Elements) less than 500bp long and LINES (Long Interspersed Elements) more than 500bp in length.

The tandem repeats are divided into three classes depending on the length of the repeated unit; satellites with repeats of several kb in length, minisatellites with repeats between 10-100bp and microsatellites with repeats of only 1-6bp in length. The microsatellites are commonly used as genetic markers especially in linkage studies and in forensic genetics.

## Copy number variation

It has only recently been discovered that copy number variations (CNVs) are widespread and a common form of variation in the human genome. CNVs are DNA segments that are more than 1kb in length and for differences in copy number have been observed by comparison of two or more genomes<sup>9</sup>. CNVs segments can be up to hundreds of kb in size and they are often found to be in tight LD with nearby SNPs<sup>10</sup>. They can be caused by genomic rearrangements such as deletions, duplications, inversions, and translocations.

## Genetic diseases

Human inherited disorders can be classified into four categories base on their genetic complexity: monogenic, mitochondrial, X-linked and complex diseases. To date, over 2200 genetic disorders are known<sup>11</sup>.

#### Monogenic

Monogenic diseases are a group of genetic disorder, caused by a change affecting one single gene. These diseases follow the pattern of Mendelian inheritance, either autosomal or X-linked. Most known single gene diseases follow the autosomal pattern, meaning that the disease causing gene is located on a chromosome that is not a sex chromosome. Both autosomal and X-linked disorders are inherited either in a recessive or dominant manner.

A dominant disorder is phenotypically expressed in a person who has only one copy of the disease-causing allele (heterozygote). Neurofibromatosis is an example of an autosomal dominant disease<sup>12</sup>.

An individual suffering from a recessive disorder has two copies of the disease allele (homozygote), to express the disease phenotype. Cystic fibrosis is an example of autosomal recessive disorder<sup>13</sup>. An example of X-linked recessive disorder is red-green color blindness<sup>14</sup>. This disease is much more common in males than females, since two copies of the disease allele are required for the disease to occur in females, while only one copy is required in males. Fragile X is an example of an X-linked dominant disease<sup>15</sup>.

#### Mitochondrial diseases

In mitochondrial disorders, the disease causing gene is located in the mitochondrial genome. The mitochondrial DNA is inherited solely from the mother, and this type of disease is therefore maternal inherited. An example of mitochondrial diseases is Leber's hereditary optic neuropathy <sup>16</sup>.

#### Complex diseases

Complex diseases are called complex, since they do not display the simple Mendelian pattern of inheritance. These diseases are caused by multiple genetic, environmental and lifestyle risk factors. The proportion of genetic and environmental risk factors varies between diseases. Disease concordance and allele sharing among relatives can be used to separate genetic and environmental factors. Twin pair studies are often used for this purpose. Twins are assumed to be exposed to environmental risk factors to the same extent whether they are monozygotic (MZ) or dizygotic (DZ). If there is greater concordance in MZ than in DZ twins, it is seen as an evidence of a genetic component of the disease.

The vast majority of known diseases are complex diseases. Examples of complex diseases are all the autoimmune diseases studied in this thesis.

## Identifying human disease causing genes

So far, the major impact of genetic research in medicine has been by defining genes causing monogenic diseases. However during the last few years, numerous variants contributing to the formation of complex diseases have been identified. This is in many ways the consequence of the completion of the human genome sequencing project, and the development of rapid and relatively cheap genotyping techniques.

Two major approaches are used for identifying genes underlying genetic diseases, linkage studies and association studies.

## Linkage studies

Linkage analysis usually involves genotyping genetic markers (often microsatellites) across the genome, in large families, where the disease affects individuals in several generations. The goal is to find markers that cosegregate with the disease. The basis for the cosegregation is the tendency of alleles at adjacent loci on the same chromosome to be inherited together without recombination, as a consequence of their physical proximity. Linkage is calculated for each marker and expressed as the logarithm of the odds (LOD), of two markers being linked compared with independent of each other. The significance threshold for linkage is traditionally considered to be a LOD score greater than 3.0. Linkage analysis can be used both in mapping Mendelian diseases (parametric linkage) and complex diseases (model-free or non-parametric linkage).

The main limitation of linkage studies is their low resolution, since the analysis is limited to the number of meioses in the family material used. Therefore a disease locus found by linkage analysis is usually large, up to several Mb in size and can contain many candidate genes.

A large number of genes causing monogenic diseases has been found by linkage analysis. An example is the transcriptional enhancer TEAD1 protein,

causing Helicoid peripapillary chorioretinal degeneration (HPCD) <sup>17</sup>, monogenic eye disease with high penetrance.

#### Association studies

In association studies, the co-occurrence of certain alleles of a polymorphism (often defined by a SNP) and a phenotypic trait is calculated.

Genetic association studies can either have family-based design or be case/control studies. A commonly used family based association test is the transmission disequilibrium test (TDT), which we used in Study II in this thesis. This test measures the transmission of alleles from heterozygote parents to an affected offspring. If the marker is associated with the disease, the allele conferring increased risk will be transmitted to the affected offspring more often than expected by chance.

In case/control studies, the frequencies of alleles are compared between two groups, patients and controls. A significant difference in the allele frequency between the two groups indicates that the genetic marker is associated with the disease, or is in linkage disequilibrium (LD) with a polymorphism that does. When markers are said to be in LD, it means that they occur together more frequently in the population than they would do, if they were combined at random. LD between markers is often described using the terms D' or r², ranging from 0 to 1.

D'=1, describes complete linkage between loci, no recombination has taken place between the markers and they are therefore inherited together. This however, does not provide any information about the frequency of the alleles in the population analyzed, which can be the same for the loci or different. r<sup>2</sup> on the other hand, describes the correlation of allele frequencies between the loci. When r<sup>2</sup>=1, the alleles are in complete linkage and have the same frequency in the sample analyzed. This means, that by knowing the genotype of one marker, the genotype of the other is also known. This correlation is used to select informative SNPs, also called Tag-SNPs, for genotyping in large association studies.

There are two approaches when performing association studies, either to search for association with specific candidate genes or to perform a genome wide association studies with no prior hypothesis of the localization of the susceptibility variants.

#### Genome-wide association studies

Genome wide association studies (GWAS) are large scale association studies where several hundred thousand, up to a million SNPs, located across the genome are genotyped in large sample cohorts. It has recently become possible to perform association studies on this scale, due to modern genotyping techniques, together with freely available SNP databases containing information about the LD pattern in the human genome<sup>18</sup>.

The huge number of genotyped markers increases the risk of obtaining false association signals by chance. Therefore a correction for multiple testing is needed in GWAS. A threshold of  $1x10^{-8}$  have been suggested for declaring a significant association in GWAS<sup>19</sup>. This is equivalent to a p-value of 0.05 after Bonferroni correction for 1 million independent tests. The Bonferroni correction is widely used to correct for in multiple testing. In this correction it is assumed that the tests are independent of each other, which is often not true in genetic studies, since many of the markers are in LD and therefore not independent. In Study II, we used the Bonferroni method to correct for multiple testing of haplotype associations with MS. The Bonferroni test can be considered as too conservative and as such increases the risk of rejecting true findings. Permutation testing is less stringent than Bonferroni as it takes into account the LD between markers.

Since the first publication of a GWAS in March 2005<sup>20</sup>, convincing positive results are regularly being published. More than 300 replicated associations have been reported for more than 70 complex diseases<sup>21</sup>. GWAS have been performed for three of the diseases studied in this thesis. Until now, eleven GWAS have been published for IBD<sup>22-32</sup>, five for MS<sup>33-37</sup> and five for SLE<sup>38-42</sup>. To my knowledge, no genome wide association study has been performed in Sjögren's syndrome.

## Autoimmune diseases

The autoimmune diseases are a large group of diseases, with a great variation in their clinical manifestations. They are complex diseases, where both genes and environment contribute to disease development. In all of these diseases the underlying problem is similar, inappropriate immune reactivity against self antigens. The breaking of tolerance may result in both selfreactive B- and T-lymphocytes, and the effect can be either organ specific or systemic. Autoimmune reaction to antigens found only in certain tissues or organs will result in a localized disease process, while autoreactivity against ubiquitously expressed antigens may results in systemic autoimmune disease. Inflammatory bowel diseases (IBD) and multiple sclerosis (MS) are examples of organ-specific autoimmune diseases, where the immunemediated injuries are localized to the gastrointestinal tract and the central nervous system, respectively. In contrast, systemic lupus erythematosus (SLE) and Sjögren's syndrome (SS) are examples of non-organ-specific diseases, since the immune reaction is directed against many different organs and tissues, resulting in widespread inflammation. Autoimmune diseases are often thought to be the third largest category of illnesses in the industrial world, after cancer and cardiovascular diseases. Approximately three to five in 100 individuals suffer from a recognized autoimmune disorder<sup>43; 44</sup>, although this number varies depending on what diseases are taken into account. The common autoimmune diseases, such as RA and thyroiditis are estimated to affect about 0.5% of the human population<sup>45</sup>. For reasons that are not clear, the prevalence of autoimmune diseases appears to be rising. They are a particular threat to women, especially during the fertile years. The gender difference in incidence decreases among older patients. Some reports suggest differences in the rates of autoimmune disease among various ethnic groups. An example of this is in the United States, were African Americans are at higher risk than Caucasians for having SLE <sup>46</sup>, but at lower risk for having IBD<sup>47</sup> and MS<sup>48</sup>.

## Shared genes in autoimmune diseases

It is relatively common that patients affected by an autoimmune disease suffer from more than one autoimmune disease, and that members of the same family suffer from different autoimmune diseases. Such observations suggest shared genes or involvement of common cellular pathways in these diseases. This hypothesis has been widely studied, and it has been predicted that most of the genetic predisposition to autoimmune diseases can be explained by genes residing in the major histocompatibility complex (MHC)-locus<sup>49</sup>. Although genes outside the MHC region, harboring susceptibility to multiple autoimmune diseases, have also been reported, such as *PTPN22* in RA, SLE, celiac disease, Graves disease and psoriasis<sup>50-53</sup>, *CD24* in MS and SLE<sup>54</sup>, *TNFAIP3* in RA, SLE and type I diabetes<sup>41; 55; 56</sup> and *IRF5* in SLE, RA and SS<sup>57-59</sup>.

By investigating gene expression profiles in the peripheral blood mononuclear cells (PBMCs) of patients with autoimmune diseases, a common signature of gene expression in patients with RA, SLE and MS has been described<sup>60</sup>. On the other hand, some genes have been found to be highly associated with a particular autoimmune disease, but not with others. This suggests that there are at least two types of autoimmune susceptibility loci; those that are shared among autoimmune diseases and those that are specific for an individual disease.

## Inflammatory bowel diseases

Inflammatory bowel diseases (IBDs) are common causes of gastrointestinal morbidity in Western countries with a prevalence of 40 per 100,000 persons. The highest incidence rates are reported in Northern and Western Europe and in North America, while the lowest incidences are found in Africa, Asia and America<sup>61</sup>. The IBDs are divided into two diseases, Crohn's disease (CD) and ulcerative colitis (UC), which are distinguished by the localization of the intestinal inflammation and the pathology of the inflamed tissue. In CD the inflammation can involve any portion of the gastrointestinal tract, while in UC it is localized to the colon, and the patients usually present with bloody diarrhea<sup>62</sup>. Although the pathogenesis of IBD is uncertain, the role of genetic factors in

IBD is supported by a strong familial clustering of the disease and by higher disease concordance between monozygotic (MZ) than between dizygotic (DZ) twins <sup>63</sup>. Of the two phenotype groups of IBD, CD and UC, the underlying genetics of CD has been studied in much more detail.

An effect on the predisposition to CD has been demonstrated and validated for a few genes, e.g.  $NOD2^{64; 65}$ ,  $IBD5^{66; 67}$  and  $ATG16L^{68}$ . Six genome wide association studies have been published, confirming the previously known associated genes, and bringing the number of known independent CD associated loci to more than  $30^{22-27}$ . The variations so far identified by the GWAS in CD have been estimated to account for 10% of the inherited risk of the disease<sup>11</sup>.

Two genome wide association scans have been performed in UC. Beside the MHC region and the IL23R gene, which has previously been identified both in CD and UC, three new loci where found on 1p36, 12p15 and 1q32 where, the IL10 gene is located<sup>28; 29</sup>. Additionally two new loci on 20q13, 21q22, respectively and NELL1 has been identified as a novel IBD disease gene<sup>30; 32</sup>.

## Multiple sclerosis

Multiple Sclerosis (MS) is estimated to affect over 2 million individuals worldwide. The frequency of the disease varies greatly depending on population and geographic location. It is most common in Caucasians of northern European descent, with a frequency of about 1:1000 inhabitants<sup>69</sup>. The highest prevalence of MS is reported from the Orkney Islands of Scotland, with >250 cases per 100,000 inhabitants. This high prevalence may be partly due to intensive prolonged search for MS in this small population <sup>70</sup>. MS is not well recognized as an autoimmune disease, but without doubt MS exhibits features of autoimmunity. The disease shows familial clustering, and twin studies have revealed that a large portion of this clustering can be attributed to shared genes<sup>71; 72</sup>. Despite on-going efforts, a remarkably low number of susceptibility genes for MS have been identified. Genome-wide linkage studies have indicated several possible susceptibility loci, but the only locus to be identified across most of these studies is the MHC-locus on chromosome 6p21, were the HLA-DRB1\*1501 allele is a well established genetic risk factor for MS<sup>73; 74</sup>. Numerous candidate gene studies have also been performed in MS, although findings from one population have been difficult to replicate in other populations. It is only recently that genes residing outside the MHC have been found to be associated with MS, in more than one population. Protein kinase C alpha (PRKCA), is one of these few genes<sup>75; 76</sup>. Interleukin 7 receptor alpha chain gene (IL7RA) and interleukin 2 receptor alpha chain gene (IL2RA) were found to be associated with MS in independent candidate gene studies and in a genome-wide association study<sup>33; 77-79</sup>. These finding have already been replicated in other populations<sup>80</sup>.

## Systemic lupus erythematosus

The prevalence of systemic lupus erythematosus (SLE) has been reported to be 20-68 cases per 100,000<sup>81</sup>, with a very high gender difference, where nine out of ten patients are females. SLE is a chronic disease, characterized by multiple organ involvement and production of autoantibodies, which together with their corresponding antigens form immune complexes (IC). These IC are thought to be the major cause of inflammation in SLE.

SLE is classified according to the ACR criteria<sup>82</sup> but a clinical diagnose of SLE is typically made when two organ manifestations together with a characteristic SLE serology are identified.

There is a well documented familial segregation of SLE, and twin pair studies reveal about a 10-fold higher rate of concordance for SLE for MZ twins compared with DZ pairs<sup>83</sup>. The genetics of SLE has been intensively studied since SLE is often thought of as a prototype for autoimmune diseases. Numerous genes have shown to have consistent association with SLE even between ethnic groups e.g. Fcγ receptors<sup>84</sup>,*PDCD1*<sup>85</sup>,*PTPN22*<sup>52</sup>,*IRF5*<sup>57</sup> and genes in the highly polymorphic MHC-locus. Recently four genome wide scans in SLE have been published<sup>39-42</sup>. In these studies several chromosomal regions that potentially harbor SLE-susceptibility genes and many candidate genes reached genome significance. Strong association was found with the HLA locus and the previously known SLE genes IRF5 and STAT4. Additionally three new risk variants were found, *C8orf13-BLK*, *ITGAM*-ITGAX and *BANK1*.

## Sjögren's syndrome

Sjögren's syndrome (SS) is one of the most common autoimmune disease with an estimated prevalence of around 0.5% in Caucasian women<sup>86</sup>. The gender difference is similar to that of SLE, with a female: male ratio of 9 to 187. It is a chronic disease characterized by lymphocytic infiltration of the salivary and lacrimal glands, causing significantly decrease in the quantity of saliva and tears. The hallmark of the disease is dryness in both mouth and eyes, although the disease can involve other organs, such as kidneys, gastrointestinal tract or lungs. Sjögren's syndrome is classified according to the American-European consensus criteria (AECC)<sup>88</sup> and can be divided into primary and secondary. Primary Sjögren's syndrome (pSS) patients do not have any other recognized autoimmune rheumatic disease, while secondary Sjögren's syndrome (sSS) patients suffer from another rheumatic disease, often RA, SLE or scleroderma. The etiology of Sjögren's syndrome is largely unknown. No population based studies of familial aggregation of SS or comparison of concordance between MZ and DZ twins have been performed<sup>89</sup>. Despite the lack of publication about the inheritance of SS, there is no doubt that a genetic component contributing to the disease development. Relatively few genetic studies have been published and few genes have been shown to be associated with the disease; IL-6 and IL- $10^{90; 91}$ , the FAS-receptor (CD95) and FAS ligand (FasL)<sup>89</sup>,  $IRF5^{58}$  and most recently  $STAT4^{92}$ .

## The interferon system

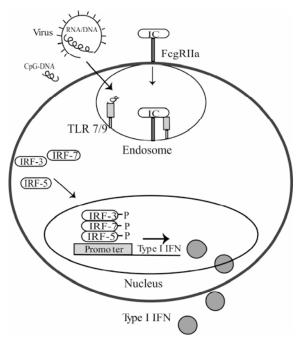
The term interferon (IFN) was first used in 1957, by Isaacs and Lindenmann, when they described a protein that induced antiviral resistance in mammalian cells  $^{93}$ . Today we know that there exist several different IFNs and they have been classified into type I, II and III, based on amino acid sequence homology. The type I IFN gene family is located on chromosome 9, the genes are intron-free and show strong homology in structure  $^{94}$ . Type I interferon consist of eight classes ( $\alpha$ ,  $\beta$ ,  $\epsilon$ ,  $\delta$ ,  $\kappa$ ,  $\tau$ ,  $\omega$ , and limitin). Two of these classes, IFN- $\alpha$  and IFN- $\beta$ , are the most studied. The human genome contains at least 20 different IFN- $\alpha$  genes, of which 13 are functional, and only a single known INF- $\beta$  gene. The type II IFN, also known as IFN- $\gamma$ , is encoded by a gene located on chromosome 12. The most recently described group of IFNs are the type III IFNs with three members termed IFN- $\lambda$ 1, IFN- $\lambda$ 2 and IFN- $\lambda$ 3, located on chromosome 19 $^{95}$ .

The type I IFNs, have received considerable attention since it was shown that treatment with IFN-α could induce autoimmune diseases<sup>96</sup>. Increased expression of IFN-induced genes has been detected in several autoimmune diseases. This so called IFN-signature has been identified in several of the diseases studied in this thesis, SLE<sup>97</sup>, RA<sup>98</sup>, Sjögren's syndrome<sup>99</sup> and in a subgroup of MS patients 100. Type I IFNs have a large number of different effects on the immune system. In addition to the classical antiviral activity, type I IFNs also contribute to the antiviral immune response by stimulating the cytotoxic activity of natural killer cells, maturation of dendritic cells (DCs), and promotion of various T-cell functions<sup>101</sup>. Recombinant or purified type I IFNs from leukocytes are used as drugs for a variety of conditions. In chronic virus infections IFN-α is a common treatment, and IFN-β is used in multiple sclerosis at the time of diagnosis 102. Although IFN treatment can be helpful, virtually all patients receiving IFN therapy experience some kind of side effect. Common adverse effects are a flu-like syndrome with headache and fever. There are reports of patients developing severe autoimmune manifestations, including SLE after receiving IFN-α treatment 103; 104.

## Type I IFN production

Most nucleated cells can produce type I IFNs under certain conditions, though the major type I IFN-producing cells are the plasmacytoid dendritic cells (PDCs) also called the natural interferon producing cells (NIPC)<sup>105</sup>. This cell type is rare, but produces large amount of IFN- $\alpha$  per cell (1-2U/cell). The

PDC are found in the circulation of healthy individuals, but are mainly recruited to lymphoid and other organs, e.g. skin in SLE patients <sup>106</sup>. The production of type I IFNs are mainly triggered through the Toll-like receptors (TLRs), which are highly conserved receptors, even between species<sup>107</sup>. Ten members of the human TLRs have been identified <sup>108</sup>. TLR7 and 9 are expressed in PDCs, and are found in the endoplasmic compartments. TLRs recognize so called PAMPs (pathogen associated molecular patterns), which are small molecular motifs consistently found on pathogens. Binding of PAMPS to TLRs, which in the case of TLR7 or 9 are single-stranded RNA or CpG rich DNA motifs<sup>109</sup>, trigger a signaling pathway, leading to activation of the interferon regulatory factors (IRFs) 3, 5 and 7. The IRFs are latent cytosolic proteins that are activated upon phosphorylation<sup>101</sup>. The phosphorylated IRFs dimerize and translocate to the nucleus, where they activate transcription of type I IFN genes. In addition to this exogenous triggering, type I IFN production can also be induced by immune complexes (IC), consisting of nucleic acids and autoantibodies. This mechanism has been shown both in SLE and SS patients. IC binds to FcyRIIa on the cell surface of PDCs, leading to endosomal uptake of the complex 110; 111. In the endosome the IC-FcyRIIa



**Figure 1**. Type I IFN production in plasmacytoid dendritic cells. Activation is initiated, either by single stranded RNA or CpG-rich DNA binding to TLR7 and 9 in endosomes, or by immune complexes binding to Fc $\gamma$ RIIa on the cell membrane. The activation leads to phosphorylation of IRF3, 5 and 7, turning on transcription from type I IFN genes.

complex cross binds to the TLRs (Figure 1). This mechanism for type I IFN production has been shown in vitro for autoantibodies, from both SLE and SS patients<sup>112; 113</sup>.

The type I IFNs produced are secreted from the cell and can bind to the type I IFN receptors (IFNAR), which are expressed on the cell membrane of most cell types, including PDCs. The binding initiates a signaling cascade in the cytosol, leading to activation of transcription of the IFN inducible genes.

## Interferon regulatory factors (IRFs)

The role of the interferon regulatory factors (IRFs) in the regulation of the immune system and oncogenesis is well established. The family of IRF proteins consists of nine members, IRF1-9<sup>114</sup>. All known human IRFs are similar in structure. They possess a conserved helix-turn-helix DNA binding domain (DBD), of approximately 120 amino acids. This DBD recognizes the interferon stimulated response element (ISRE), located at the promoter region of IFN inducible genes. Notably, the promoter regions of all known IRFs contain this same conserved ISRE motif, indicating that IRFs themselves are regulated by type I IFN.

For the scope of this thesis, IRF5 is the most interesting one. The human IRF5 was originally characterized for its role in virus-induced type I IFN signaling <sup>115</sup>. It has since then been shown to be involved in the innate immune response to pathogens, by activating transcription of not only type I IFNs, but also proinflammatory cytokines, e.g. interleukin(IL)-6, IL-12 and TNF- $\alpha^{116;\ 117}$ . IRF5 has also been shown to have tumor suppressor activity and to stimulate apoptosis in virally affected, as well as DNA damaged cells <sup>117; 118</sup>. The IRF5 gene displays a complex transcription pattern and at least nine alternatively spliced mRNAs have been described <sup>119</sup>.

## Present studies

The Interferon regulatory factor 5 (IRF5) gene encodes a transcription factor that plays an important role in both the innate and the cell-mediated immune response. When I started this project, the IRF5 gene had recently been shown to be associated with SLE and RA by our group, in collaboration with the group for systemic autoimmunity here in Uppsala.

Since then, these findings have been convincingly replicated in numerous populations. Despite the convincing replication of the association between *IRF5* and SLE in several populations, it was not apparent which the associated functional polymorphisms in the IRF5 gene were.

Taking into consideration that different autoimmune diseases might share common pathological pathways, we studied whether the IRF5 gene would be involved in susceptibility to other autoimmune diseases than SLE, and what the possible role of the genetic variation in *IRF5* could be. We also tested for a joint effect of *IRF5* and the STAT4 gene in one of the autoimmune diseases. Since *STAT4* had recently been shown to be associated with SLE, and along with *IRF5*, is involved in the type I interferon system this was of particular interest.

## Aims

- To test polymorphisms in *IRF5* for association with inflammatory bowel diseases (Study I), multiple sclerosis (Study II) and primary Sjögren's syndrome (Study IV)
- To identify all genetic variation of *IRF5*, to be able to identify the variants that are most strongly associated with SLE (Study III)
- To investigate joint effects of *IRF5* and *STAT4* in the disease susceptibility to primary Sjögen's syndrome (study IV)
- To study the functions of the associated variants of *IRF5* (Study I-III)

## Material and methods

## DNA samples

In the four studies of the thesis, we analyzed a total of 8960 DNA samples: 4884 samples from patients and 4076 samples from controls. All study subjects provided informed consent to participation, and all studies were approved by the regional ethics boards.

#### IBD cohort

Two cohorts, in total 1694 IBD patients and 552 controls were included in this study. Both cohorts were recruited in Belgium. Our main cohort contained 1007 IBD patients from Wallonia, of which 748 were diagnosed with CD, 254 with UC and five with indeterminate colitis (IC). Healthy individuals attending the University Hospital of Liege and blood donors were used as controls (n=241). The second cohort consisted of 687 IBD patients from Leuven, of which 488 were CD patients, 192 were UC patients and 7 had IC. A set of 311 volunteer blood donors served as unrelated control individuals for these patients.

#### MS cohort

A total of 5150 MS patients and controls collected in Spain, Sweden and Finland were included in the study. All patients (n=2337), had clinically or laboratory-supported definite MS according to the Poser criteria 120 or fulfilled the criteria of McDonald for MS<sup>121</sup>. The Spanish cohort consisted of 660 MS patients and 833 blood donors as controls, recruited at three public hospitals in the south of Spain. The Swedish cohort consisted of 1166 MS patients and 1235 controls of Nordic ethnicity recruited at three hospitals in Stockholm. The Finnish cohort consisted of 511 MS trio families recruited at five hospitals in Finland.

#### SLE cohort

Our SLE study included 485 patients and 563 controls All patients fulfilled at least four of the classification criteria for having SLE as defined by the American college of Rheumatology (ACR)<sup>82</sup>. All patient samples were recruited from three University Hospitals in Sweden. The controls were recruited from healthy blood donors matched for sex, age and geographical region and from a population-based control cohort; Epidemiological Investigation of Rheumatoid Arthritis (EIRA)<sup>122</sup>.

#### SS cohort

In the SS cohort a total of 368 patients with primary Sjögren's syndrome and 711 controls were included. All the patients fulfilled the American European

consensus criteria<sup>88</sup> for having primary Sjögren's syndrome. The cohorts were collected in three university hospitals in Sweden (228 patients, 563 controls) and one university hospital in Norway (140 cases, 148 controls). The 563 Swedish controls included in this study were also included in study III.

## Genotyping

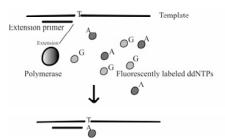
The polymorphisms genotyped in Studies I, II and IV were selected because they had previously been shown to be associated with SLE, RA or had been suggested to influence the expression of *IRF5*. In Study III a comprehensive set of polymorphisms identified by sequencing on the IRF5 gene were genotyped.

Indels polymorphisms were genotyped either by size separation of the PCR products on high resolution agarose gel or by capillary electrophoresis on a DNA sequencing instrument.

SNPs were genotyped using three genotyping systems: GenomeLab<sup>TM</sup> SNPstream system from Beckman Coulter, florescent polarization template directed incorporation (FP-TDI) using reagents purchased from Perkin-Elmer or prepared "in house", or the GoldenGate assay and a BeadStation instrument from Illumina.

#### SNPstream and FP-TDI

Both the SNPstream and FP-TDI assays are enzymatic methods, where an extension primer is designed so that its 3'end hybridizes just adjacent to the SNP to be genotyped. Templates for the primer extension reaction are generated by standard PCRs. A DNA polymerase is then used to extend the primer over the SNP site, by incorporating fluorophore-labeled ddNTPs. By using labeled ddNTPs, further extension of the primer is inhibited, and the incorporated nucleotide becomes detectable. In the original publication this single-base primer extension method for genotyping is called "minisequencing" (Figure 2).



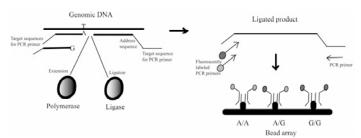
**Figure 2**. Principle of the minisequencing reaction. A minisequencing primer anneals adjacent to the SNP site to be genotyped. A DNA polymerase extends the primer by a single base, thus incorporating a fluorescently labeled ddNTPs.

Both SNPstream and FP-TDI use a dual color reaction, allowing both alleles of a SNP to be detected in the same reaction. The differences between the two methods are in the assay format and separation/detection step. In FP-TDI, detection is achieved in solution by fluorescent polarization. This only allows one SNP to be genotyped at a time.

Using SNPstream, detection is performed by fluorescence on arrays, which allows multiplex genotyping of SNPs. The extension primers, used in the extension reaction, are constructed so that they contain tag-sequences that are complementary to tags-oligonucleotides immobilized on the arrays. In SNPstream from 12 to 48 SNPs can be processed in each well of an arrayed 384-well plate.

#### GoldenGate assay

The GoldenGate assay is based on allele-specific primer extension. In brief the procedure is as follows: Three different oligonuclotides are synthesized for each SNP; two allele-specific oligonucleotides primers that distinguish the SNP-alleles and a locus-specific oligonucleotides probe downstream of the SNP site. All the three oligonucleotides contain target sequences for a set of universal PCR primers. The locus specific oligonucleotide also contains specific address sequences that are complementary to oligonucleotide tags attached to beads. The oligonucleotides are allowed to hybridize directly to genomic DNA, and only the fully matched oligo is extended by the DNA polymerase, followed by ligation to the downstream probe. Each ligation product is then PCR-amplified with a fluorescently labeled universal primer pair. The PCR products are hybridized onto a bead array, and a BeadArray reader is used to measure the fluorescence on each bead (Figure 3). The maximal multiplexing level of the Golden Gate assay is 1536 SNPs in a 96 well format.



**Figure 3**. Principle of the GoldenGate assay. Oligonucleotide primers and probes are hybridized to genomic DNA. Only an oligonucleotides that is prefectly matched with the SNP is extended and ligated to a locus-specific probe. The ligation product is amplified with flurorescent universal primers. The PCR products are guided to the beads with the aid of the address sequence on the locus specific oligonucleotides probe.

## Sequencing

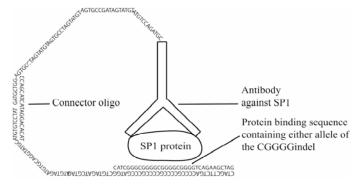
In Study III we sequenced the IRF5 gene in eight controls and 40 SLE cases. The whole gene was sequenced, using 23 PCR fragments that covered 1kb upstream of exon 1a and all exons and introns. The primers for PCR were designed using the Primer3 software. PCR was performed and the PCR products were cleaned with Exonuclease I and Shrimp Alkaline Phosphatase mix and sequenced at the sequencing facility at the Rudbeck laboratory in Uppsala. The sequence reads were analyzed using the Sequencher version 4.5. software.

## Electrophoretic mobility shift assay (EMSA)

We performed EMSA in each of the three first studies to investigate if polymorphisms in *IRF5* found to be associated with the diseases, affect protein binding. For each of the polymorphisms studied, pairs of single stranded 5′-biotin-labelled and unlabeled oligonucleotides were allowed to anneal to generate double-stranded probes. Labeled probes were incubated with nuclear extract prepared from PBMCs. To estimate preference of binding we also performed competition experiments, where we added a 100-fold molar excess of unlabeled probe to the incubation. The DNA-protein complexes produced in the binding reactions were analyzed using electrophoresis on polyacrylamide gels. After the electrophoretic separation, the biotinylated fragments were transferred to membranes, and detected by a chemiluminescent procedure using the LightShift® Chemiluminescent EMSA kit.

## Proximity ligation assay (PLA)

In Study II we used PLA<sup>124</sup> to confirm our hypothesis that the SP1 protein binds to the polymorphic CGGGG repeat. The power of this technique is based on two bi-functional probes. One of the probes is a labeled antibody directed against the protein of interest that has been conjugated to an oligonucleotides. Here we used biotinylated polyclonal antibody against the SP1 protein, combined with a streptavidin-oligonucleotide conjugate. The other probe consisted of a partially double-stranded DNA sequence containing the SP1 binding site. Here we used a DNA probe containing the polymorphic CGGGG repeat purified by high pressure liquid chromatography (HPLC). The HPLC-purified probe was made partially double stranded as described by Gustafsdottir et al <sup>124</sup>. Since the SP1 protein was simultaneously bound by both probes, the oligonucleotides ends of the probes were brought physically close together and were thus able to hybridize to added to a connector oligonucleotide. This DNA structure was then covalently joined by enzymatic ligation. The ligated DNA sequence, which serves as a representation of the binding event between SP1 and the CGGGG repeat, was then amplified and detected by real-time PCR.



**Figure 4.** Analysis of SP1 protein interaction by proximity ligation. Two affinity probes, an antibody against the SP1 protein and a DNA oligo that contain either allele of the indel, are bound simultaneously to the SP1 protein. Both probes carry an oligonucleotide extension. The vicinity of the probes leads to hybridization of their oligonucleotide extension to added connector oligonucleotides, allowing them to join by an enzymatic reaction. The ligated DNA sequence is amplified and detected by real time PCR.

## Minigen reporter assays

In Study III we compared the two alleles of the 5bp indel polymorphism with regards to expression of the IRF5 gene, in SLE patients. DNA fragment containing 1.4kb upstream of exon 1a and part of exon 2 of *IRF5* was generated by PCR from genomic DNA. The fragments were cloned into a pCR-Blunt II TOPO vector. After verification by sequencing, the fragments were subcloned into a pcDNA3 vector and transfected into cells lacking endogenous *IRF5* expression. Total RNA was isolated from the transfected cells and cDNA corresponding to exon 1a-associated transcripts, was synthesized from the total RNA. To compare the amount of *IRF5* expression from promoters containing either 3 or 4 repeats of the 5bp CGGGG indel, the cDNAs were subjected to real-time PCR. The specificity of each reaction was examined by dissociation curve analysis using the pcDNA3 vector or β-actin for standardization.

## Immunoblot analysis

Immunoblot analysis was performed to confirm the results obtained using the minigen reporter assay, namely increased expression from *IRF5* containing the risk allele of the 5bp CGGGG indel polymorphism. The principle of this technique is, first to detect a specific protein by means of its molecular mass and then by its antigenicity. The immunoblot analysis was performed in the following way: Cell lysates from purified peripheral blood mononuclear cells pellets were prepared and denaturated. Proteins were separated by Sodium dodecyl sulphate (SDS)-polyacrylamide gel electrophoresis (PAGE). After separation, the proteins were transferred onto a nitrocellulose membrane and probed with

mouse anti-human IRF5 antibodies. To be confident that each sample had been loaded with equal amounts of cell extract, the membrane was also probed with rabbit anti-alpha-tubulin as an internal control. Horseradish peroxidase-conjugated anti-mouse and anti-rabbit secondary antibodies were used to detect the protein-primary antibody complexes formed. Immunoreactive protein complexes were visualized with enhanced chemiluminescence using ECL reagents.

## Statistical analysis

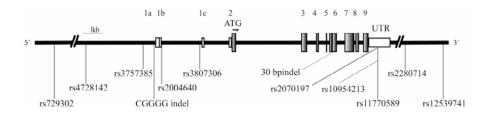
A  $\chi^2$  test (P>0.05) was used to assess that the genotype distributions of the polymorphisms fulfilled the criteria of Hardy-Weinberg equilibrium. The PLINK software was used to compare the allele counts in cases and controls by Fisher's exact test and to calculate odds ratios (OR). Plink was also used to perform haplotype association analysis in Study I and II and conditional logistic regression analysis in Study III. The Haploview software was used to determine linkage disequilibrium (LD) between the polymorphisms. A Bayesian model selection approach<sup>125</sup> was used to identify genetic variants that have the highest joint probability of being associated with SLE. For the family cohort in Study II, the genotype data was analyzed using the TRANSMIT 2.5.2. software. Alleles that were included in the analysis were required to be transmitted from a least 20 informative meioses. A combined p-value from case-control cohorts and trios were calculated using the analytical expression by Jost,  $k(1-(\ln(k))+(-\ln(k)^2)/2)$ , where k is the product of the p-values from the different cohorts (http://www.loujost.com). The ssSNPer tool was used to determine the pair-wise correlation between SNPs in the HapMap data<sup>126</sup>.

## Results

## Association analysis of IRF5

#### Study I: IBD

In Study I we tested 12 polymorphisms, ten SNPs and two insertion-deletions, in the IRF5 gene (Figure 5) for their association with two subgroup of inflammatory bowel diseases; Crohn's disease and ulcerative colitis. The polymorphisms were selected because they had previously been shown to be associated with SLE, RA or had been suggested to modulate the expression of *IRF5*.



**Figure 5.** Schematic illustration of the IRF5 gene with the positions of the analyzed polymorphisms. The exons and 3'-UTR are shown as boxes. The exons are labeled as 1-9 and the untranslated alternative exons are labeled as 1a, 1b and 1c. The transcription initiation site is indicated with ATG and an arrow above the gene.

We found six out of the 12 polymorphisms to be associated with IBD (p<0.05), in our initial cohort of 987 cases and 223 controls. All six polymorphisms are located in the promoter region and the first intron of the gene. The same six polymorphisms showed significant association signals in both subgroups of IBD, CD and UC. The strongest signal was obtained for the CGGGG indel and this signal was particularly strong in the UC group with a p-value of  $5.3 \times 10^{-8}$  and an OR of 2.4.

To confirm the association of IRF5 to IBD we used an independent cohort of 687 IBD patients and 311 controls. In the confirmational cohort the CGGGG indel was found to be strongly associated with IBD (p-value  $3.2 \times 10^{-5}$ , OR 1.59), while no association signal was observed for the other polymorphisms.

When we combined the two cohorts, strong association signals from the CGGGG indel polymorphism and from the SNP rs4728142 were further strengthened (Table 1). Both patient subgroups were also considered in the combined analysis. As can be seen in Table 1, a particularly strong signal of association was observed for both polymorphisms in the UC group.

**Table 1.** Single marker association results from combined cohort of 1661 inflammatory bowel disease (IBD) patients and 534 controls. Of the patients 1207 were diagnosed with Crohn's disease (CD) and 429 with ulcerative colitis (UC).

	Risk	Allele frequency					P-value			Odds ratio (95%CI)			
Polymorphism	allele	Controls	IBD	CD	UC	IBD	CD	UC	IBD	CD	UC		
rs729302	Α	0.38	0.36	0.37	0.32	2.60E-01	8.10E-01	1.10E-02	0.91(0.79-1.07)	0.98(0.83-1.15)	0.77(0.63-0.94)		
rs4728142	A	0.38	0.42	0.42	0.48	1.40E-03	2.90E-02	4.20E-05	1.28(1.1-1.49)	1.20(1.02-1.40)	1.50(1.24-1.83)		
rs3757385	G	0.4	0.37	0.37	0.37	1.90E-01	3.00E-01	2.80E-01	0.90(0.78-1.05)	0.92(0.78-1.07)	0.90(0.74-1.09)		
5bp indel	Ins	0.67	0.56	0.43	0.49	1.40E-08	3.30E-06	7.90E-10	1.62(1.37-1.91)	1.51(1.27-1.80)	1.93(1.56-2.38)		
rs2004640	T	0.47	0.5	0.49	0.53	1.60E-01	4.60E-01	2.70E-02	1.11(0.96-1.29)	1.06(0.91-1.24)	1.24(1.03-1.50)		
rs3807306	T	0.44	0.48	0.47	0.52	2.70E-02	1.70E-01	1.80E-03	1.18(1.02-1.37)	1.11(0.95-1.30)	1.36(1.12-1.64)		
30bp indel	Del	0.5	0.48	0.49	0.47	3.00E-01	5.10E-01	2.70E-01	0.92(0.80-1.07)	0.95(0.81-1.10)	0.90(0.74-1.08)		
rs2070197	C	0.081	0.094	0.094	0.094	2.70E-01	2.70E-01	3.90E-01	1.17(0.89-1.52)	1.17(0.89-1.54)	1.17(0.84-1.67)		
rs10954213	A	0.42	0.39	0.39	0.38	1.10E-01	2.10E-01	1.50E-01	0.88(0.76-1.03)	0.90(0.77-1.06)	0.87(0.71-1.05)		
rs11770589	A	0.5	0.49	0.49	0.48	3.00E-01	4.80E-01	2.90E-01	0.92(0.80-1.07)	0.95(0.81-1.10)	0.90(0.75-1.09)		
rs2280714	T	0.36	0.35	0.35	0.35	3.10E-01	4.10E-01	4.80E-01	0.92(0.79-1.08)	0.93(0.80-1.10)	0.93(0.77-1.13)		
rs12539741	T	0.077	0.095	0.096	0.095	1.10E-01	1.10E-01	1.90E-01	1.25(0.95-1.65)	1.26(0.95-1.68)	1.26(0.90-1.78)		

We performed a haplotype association test of the six polymorphisms that had shown association with IBD in our initial cohort, to investigate whether the haplotype could capture the association signal at a higher significance than the individual polymorphisms. This analysis did not reveal any association signals that were stronger than those for the CGGGG indel alone.

To assess to which extent the associated allele of the CGGGG indel confers risk to IBD, we calculated the OR for having a single or two copies of the risk allele. This resulted in OR 1.62 (1.37-1.91) for having one copy and OR of 3.03 (2.04-4.49) if having two copies of the risk allele.

#### Study II: MS

Ten out of the 12 polymorphisms from Study I were genotyped in the MS cohorts. One SNP (rs2070197) and a 30bp insertion deletion were omitted. The reason for leaving out those two polymorphisms was that the SNP is in perfect LD with the SNP rs12539741, which was included in the study, and that the 30bp indel did not reveal any association with IBD in Study I.

The ten selected polymorphisms were first genotyped in a Spanish cohort of MS patients (n=660) and controls (n=833). Seven of the ten polymorphisms showed significant signals of association with MS (p<0.05). To replicate our findings, we genotyped the same set of polymorphisms in an independent case-control cohort of 1166 MS patients and 1235 matched controls from Sweden. Two of the SNPs (rs4728142 and rs3807306), and the 5 bp CGGGG indel polymorphism, showed a nominally significant association (p<0.05). Each of these polymorphisms where also associated in the Spanish cohort.

In a further attempt to verify our findings, we genotyped 511 Finnish MS trio families. Using a transmission disequilibrium test, 4 SNPs showed association with MS in the Finnish cohort (p<0.05). The 5 bp indel did not reach the limit for association but its p-value was 0.056. It should be noted however, that the overtransmitted allele in the trio analysis is the same as the risk allele in the case-control cohorts.

When the data from all cohorts are combined, association signals from the two SNPs (rs4728142 and rs3807306) and the CGGGG indel were maintained (Table 2).

**Table 2.** Combined association analysis of *IRF5* polymorphisms with multiple sclerosis in three populations.

	Spanish c/c <sup>1</sup>	Swedish c/c1	Finnish trios	
Polymorphism	P-value	P-value	P-value	Combined P-value
rs729302	0.22	0.29	0.047	0.07
rs4728142	0.0029	0.02	0.035	0.0002
rs3757385	0.0052	0.43	0.53	0.04
5bp indel	0.011	0.0093	0.056	0.0005
rs2004640	0.0011	0.082	0.54	0.003
rs3807306	0.0037	0.049	0.012	0.0002
rs10954213	0.031	0.15	0.01	0.003
rs11770589	0.29	0.49	0.07	0.16
rs2280714	0.003	0.43	0.3	0.02
rs12539741	0.074	0.1	0.74	0.11

<sup>1</sup>c/c denotes case-controls.

The three polymorphisms, rs4728142, rs3807306 and the 5bp indel, are in high LD with each other, with  $r^2$  values of 0.61-0.88. A haplotype analysis revealed that the risk alleles of the polymorphisms were all present on the same common haplotype in the Spanish, Swedish and Finnish populations.

#### Study III: SLE

Despite the well established association of the IRF5 gene with SLE, it is not apparent which the true associated functional polymorphism in the gene is. In Study III we therefore aimed to identify all the genetic variation in *IRF5* and clarify which genetic variants are jointly most strongly associated with SLE.

The whole IRF5 gene was sequenced in 48 individuals; 40 SLE patients and 8 controls. Forty eight polymorphic sites were identified. No missense SNPs in the protein coding region of *IRF5* was discovered. Based on LD and GoldenGate assay score, 27 of the polymorphisms were selected for genotyping. In addition, 20 SNPs from dbSNP located within 9kb upstream or 5kb downstream from the gene were included in our genotyping panel. In this panel a total of 47 polymorphisms were genotyped in 485 SLE patients and 563 controls, and tested for their association with SLE.

Eighteen of the polymorphisms yielded association signal with SLE, even after Bonferroni correction for multiple testing. A particularly strong association signal was observed for the 5bp CGGGG indel polymorphism located upstream of exon 1a (p=  $4.6 \times 10^{-9}$  and OR = 1.69) and the SNP rs10488631 located 5kb downstream of the gene.

By using conditionally logistic regression analysis it was observed that the 5bp indel and SNP rs10488631 together eradicate the association signal from all the remaining markers in the IRF5 gene, and that these two polymorphisms are independently associated with SLE (Table 3). Since only a subset of all possible combinations can be examined using conditional logistic regression, a Bayesian model selection analysis was also performed on the data. With this computer-intensive method all possible combinations of polymorphism can be analyzed, since the model does not require previous selection of a conditioning variant. Both methods gave similar results.

**Table 3.** *IRF5* polymorphisms associated with SLE with P<0.0005 and conditional logistic regression analysis.

		P value	P values for conditional logistic regression b					
	Single marker			CGGGG indel and				
Marker	P-values a	CGGGG indel	rs10488631	rs10488631				
rs729302	2.7E-04	0.22	0.04	0.63				
rs4728142	1.8E-07	0.31	6.20E-04	0.63				
rs3778754	1.8E-07	0.28	2.90E-03	0.89				
5bp indel	4.6E-09	NA	5.80E-05	NA				
rs2004640	5.7E-07	0.23	3.00E-03	0.83				
rs752637	1.8E-04	0.47	0.03	0.94				
rs3807306	3.9E-07	0.24	3.10E-03	0.84				
rs11761199	4.9E-06	0.58	0.02	0.51				
rs7808907	4.4E-06	0.25	0.01	0.96				
Gindel	8.7E-06	5.70E-03	0.61	0.92				
rs10954213	8.6E-05	0.41	0.03	0.89				
rs10954214	1.5E-04	0.3	0.03	0.69				
rs13242262	1.2E-04	0.45	0.04	0.94				
rs7800687	1.8E-04	0.32	0.03	0.71				
rs10488631	9.4E-10	1.90E-05	NA	NA				
rs2280714	2.1E-04	0.35	0.04	0.76				

<sup>&</sup>lt;sup>a</sup> P values based on comparison of allele count between cases and controls by Fisher's exact test.

#### Study IV: pSS

We investigated the association of the two polymorphisms in the IRF5 gene and one polymorphism in *STAT4*, with primary Sjögren's syndrome in a cohort of 368 well characterized pSS patients and 711 controls. The genotyped polymorphisms in the IRF5 gene were selected based on our result from Study III, were we found that the 5bp indel and one SNP rs10488631, are independently associated and account for most of the observed association signals of *IRF5* with SLE. The single polymorphism selected in the STAT4 gene (rs7582694) has previously been shown to account for the entire association signal with SLE<sup>127</sup>.

The result from our study was that all three polymorphisms showed strong signals for association with Sjögren's syndrome in the Swedish cohort alone. In the Norwegian cohort, the 5bp indel was significantly associated

<sup>&</sup>lt;sup>b</sup> P values for association conditional on the indicated marker.

with pSS and the *IRF5* SNP rs10488631 showed a suggestive association with the disease. The reason for the low association signals of *IRF5* with pSS in the Norway could be the low number of individuals in that cohort or true differences between the populations. In meta-analysis of the data from both cohorts, the significance of the association with primary SS was strengthened for all three polymorphisms. The association signals in the combined cohort were slightly stronger for the two polymorphisms in *IRF5* with an OR of 1.49 for the 5bp indel and an OR of 1.57 for the SNP rs10488631, compared to an OR of 1.41 for the SNP rs7582694 in *STAT4* (Table 4). It is notable that there was a slight difference in the risk allele frequencies for the *IRF5* polymorphisms between individuals from Norway and Sweden. We observed higher risk allele frequencies in Norway in both primary SS patients and controls, while there was no difference in risk allele frequencies between the two populations for the *STAT4* SNP.

**Table 4.** Association analysis of three polymorphisms with primary Sjögren's syndrome in Swedish and Norwegian cohorts.

		Sweden				Norway			Combined cohorts		
		Risk allele frequency				Risk allele frequency					
	Risk	Cases	Controls			Cases	Controls				
Marker	allele	(n=228)	(n=563)	P-value	OR	(n=140)	(n=148)	P-value	OR	P-value	OR 95% CI
5bp indel	4x	0.54	0.44	$2.0 \times 10^{-4}$	1.51	0.59	0.5	0.03	1.45	2.41x10 <sup>-5</sup>	1.49 (1.24-1.79)
rs10488631	C	0.19	0.12	$1.4 \times 10^{-3}$	1.62	0.25	0.18	0.06	1.47	$3.23 \times 10^{-4}$	1.57 (1.23-1.99)
187582694	C	0.29	0.22	$4.7x10^{-3}$	1.43	0.29	0.23	0.11	1.36	1.45x10 <sup>-3</sup>	1.41 (1.14-1.73)

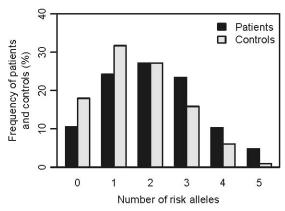
## Joint effect of IRF5 and STAT4 in Sjögren's syndrome

We also investigated the joint effect of the two polymorphisms in IRF5 since we assumed that they give rise to independent association signals with pSS. We found that the risk haplotype carrying both risk alleles had a p-value of 9.57 x  $10^{-5}$  and an OR of 1.63. The protective haplotype on the other hand, carrying the non-risk alleles had a p-value of  $2.88 \times 10^{-5}$  and an OR of 0.67. The haplotypes formed by one risk allele and one non-risk allele of IRF5 was neutral (Table 5). These results are consistent with the independent effect on primary SS by these two polymorphisms.

**Table 5.** Association analysis of *IRF5* haplotypes with primary Sjögren's syndrome in the Swedish and Norwegian cohorts.

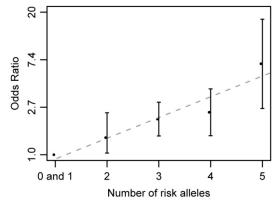
	Sweden				Norway				Combined cohort	
	Haplotype frequency			Haplotype frequency						
Haplotype	Cases	Controls	P-value	OR	Cases	Controls	P-value	OR	P-value	OR 95% CI
3xindel-T	0.45	0.55	$3.00 \times 10^{-4}$	0.67	0.39	0.48	0.03	0.68	$2.88 \times 10^{-5}$	0.67 (0.56-0.81)
4xindel-T	0.37	0.33	0.16	1.18	0.36	0.34	0.52	1.12	1.35x10 <sup>-1</sup>	1.16 (0.96-1.40)
4xindel-C	0.18	0.11	5.00x10 <sup>-4</sup>	1.71	0.23	0.17	0.05	1.53	9.57x10 <sup>-5</sup>	1.63 (1.28-2.09)
3xindel-C	0.01	0.01	0.52	0.7	0.02	0.02	0.93	1.07	$8.12 \times 10^{-1}$	0.82 (0.35-1.94)

We also tested for a joint effect of the risk alleles of both genes, *IRF5* and *STAT4* on the disease susceptibility to pSS. The distribution of pSS patients and controls in each group of individuals carrying the different numbers of risk alleles are plotted in figure 6. The overall differences in risk allele count between patients and controls are very high, with a one sided P-value of 2.5 x 10<sup>-9</sup>.



**Figure 6.** Frequency of pSS patients and controls carrying different numbers of risk alleles. Black bars indicates patients and the gray bars indicates controls. No individual carried all six risk alleles.

In Figure 7 we visualize the relationship between the number of carried risk alleles and the risk for developing pSS. The resulting straight line shows that the risk for developing the disease increases in an additive manner, with an average increase in OR of 1.78 for each risk allele. For carriers of two risk alleles the OR for primary SS is 1.43, while carriers of 5 risk alleles have an OR of 6.78.



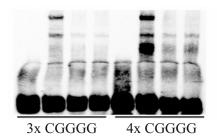
**Figure 7.** Linear regression analysis showing the odds ratios (ORs) with 95% confidence intervals for developing pSS as a function of the number of risk alleles. The scale of the y-axis corresponds to the natural logarithm of the ORs.

We tested for dominance or interaction between the risk alleles by using multiple logistic regression analysis. No evidence of such interactions was observed.

It has been shown that sera from pSS patients in combination with necrotic or apoptotic cell material induce IFN- $\alpha$  production in peripheral blood mononuclear cells (PBMCs) *in vitro* and this IFN-inducing ability is correlated with the presence of anti-SSA and -B antibodies<sup>113</sup>. Inspired by this knowledge, we investigated if there is a correlation between the presence of these antibodies and the three polymorphisms under investigation. No correlation was found between the presence of ANA, anti-SSA or anti-SSB antibodies and the genotypes of the *IRF5* or *STAT4* polymorphisms.

## Functional analysis of IRF5

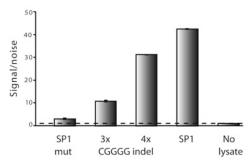
We were interested to determine a possible functional role for the 5bp indel in the IRF5 gene, since this variant has been shown to be associated in all the diseases studied in this thesis. We knew from sequencing of *IRF5*, that the indel contains either 3 or 4 CGGGG repeats and from the association analysis we knew that the insertion of one repeat (4xCGGGG) is the risk allele. We used electrophoretic mobility shift assays (EMSA) to compare protein binding to the alleles of the indel. This analysis revealed a higher level of binding of protein to the risk allele than to the non-risk allele (Figure 8).



**Figure 8**. EMSA images for the 5bp indel polymorphisms. Samples loaded in lane 1-4 contains for each allele: (1) labeled probe, (2) labeled probe and nuclear extract, (3) labeled probe, nuclear extract and unlabeled probe, (4) labeled probe, nuclear extract and 100 fold excess of unlabeled probe for the other allele of the polymorphisms added as cross competitor.

A limitation of the EMSA method is that no information regarding the identity of the protein that binds to the DNA is obtained. By searching a transcription factor database, we found that the sequence of the CGGGG indel is predicted to bind the transcription factor SP1. The risk allele of the 5bp polymorphisms with 4 CGGGG repeats contains three SP1 binding sites, while the non-risk allele with 3 CGGGG repeats is predicted to contain two such sites. To verify that it is the SP1 protein that actually binds to the indel po-

lymorphism, we used an antibody against SP1 in the proximity ligation assay. The results showed a 2.6 fold higher binding signal from the 4x CGGGG probe (the risk allele) than from the probe containing the 3x CGGGG sequence (non risk allele), confirming that the SP1 protein binds to the indel polymorphisms (Figure 9).



**Figure 9.** Analysis of the SP1 protein-promoter interaction using the proximity ligation assay.

We also investigated if the allele-specific difference in protein binding, which we had observed in our EMSA experiment, had an effect on the expression level of *IRF5*. Two experiments were conducted to test this, minigene reporter assays and immunoblot analysis.

In both of these experiments it was observed that the risk allele of the CGGGG indel is associated with increased expression of the IRF5 gene. In the minigene assay, promoters from individuals homozygous for either allele of the indel were cloned into expression vectors. *IRF5* expression was determined to be two to tenfold higher for promoters carrying the risk allele.

## Summary of the results

Significant association with interferon regulator factor 5 was found in all the diseases analyzed, inflammatory bowel disease, multiple sclerosis, systemic lupus erythematosus and primary Sjögren's syndrome.

- **Study I** provides the first confirmed evidence of a shared gene between SLE and IBD. We found *IRF5* to be associated with both CD and UC. To our knowledge this is the first gene associated with IBD that shows a stronger association signal with UC than with CD.
- **Study II** shows association of *IRF5* with MS in three different European populations; the Spanish, Finnish and Swedish populations. This finding adds *IRF5* to the short list of genes shown to be associated with MS in more than one population.
- In **Study III** we identified a complete set of polymorphisms in the IRF5 gene, 30 SNPs and 4 indels, and showed association with SLE for 18 of those polymorphisms. Two polymorphisms (SNP rs10488631 and a 5bp CGGGG indel) in the gene were found to contribute to all the association signals obtained with SLE.
- In **Study IV** we show an association of *IRF5* with primary Sjögren's syndrome in two Scandinavian populations, the Swedish and the Norwegian populations. We also showed association of the STAT4 gene with pSS, and demonstrated a strong additive affect of the risk alleles of the two genes in primary Sjögren's syndrome.

In Studies I, II and III we performed functional analysis of the most strongly associated polymorphism in the IRF5 gene, the 5bp CGGGG indel. The results showed increased expressions of *IRF5*, as a consequence of increased binding of SP1 protein to the risk allele of the indel polymorphism.

## Discussion

By analyzing a comprehensive set of polymorphisms in the IRF5 gene, we found that two main groups of polymorphisms account for the obtained association signal with SLE. The former group is defined by a 5bp CGGGG indel in the promoter region of the gene, and the second group is defined by a SNP rs10488631 located 5kb downstream of the gene. The SNP rs10488631 is a perfect proxy for several polymorphisms in the 3'end of *IRF5* as well as SNPs distributed over a 100 kb region downstream of the gene, where the transportin 3 (TNPO3) gene is located. By genotyping methods it is impossible to clarify if this second signals originates from the IRF5 gene, or if it actually originates from polymorphisms in *TNPO3*, or from other polymorphisms located downstream of *IRF5*.

Interestingly in IBD and MS, we observe association signals from the 5bp indel, but not from the two SNPs rs2070197 and rs12539741 which are according to HapMap in almost perfect LD, with SNP rs10488631. Our data shows clearly that the association signal that we obtain from *IRF5* with these two diseases, only originates from polymorphisms belonging to the former group, which clarifies that it is *IRF5*, and not *TNPO3* that is associated with IBD and MS in our study.

In our investigation of primary SS, we found association with both the 5bp indel and the SNP rs10488631. These results are highly similar to those found in SLE. There is however, a publication showing association of IRF5 with primary Sjögren's syndrome only for the group of polymorphisms that is tagged by the 5bp indel, and no association with the second group of SNPs<sup>58</sup>. The discrepant results can possibly be explained by the small size of that study, and thereby too little power to detect the signal from the second group.

In our study on primary SS we also analyzed the association of *STAT4* with the disease and found the same risk allele that has been shown to be associated with both SLE and RA to be associated also with pSS. This implies that both *IRF5* and *STAT4* and the type I IFN system are important in the development of rheumatic diseases.

We did not investigate association of *STAT4* with IBD or MS, which should be of great interest. A recent publication on the association of *STAT4* with several immune mediated disease reported an association of *STAT4* with IBD but did not detect an association with MS<sup>128</sup>.

Using statistical methods in Study III, we found that two groups of polymorphisms contribute independently to the association signals observed

from the IRF5 gene. As previously listed, these groups are represented by the 5bp indel polymorphisms and the SNP rs10488631 respectively. Our results are based on the association data, and do not give evidence of a disease causing role for these polymorphisms. The best way to identify the real causal polymorphisms is by functional studies. Several polymorphisms in *IRF5* belonging to both of these groups have been identified to have functional roles of the gene.

In the former group, the 5bp indel polymorphism is the most likely causal variant. The indel is located in the promoter region of the gene, only 64 bp upstream of exon 1a. Our functional analyses support a role for the 5bp indel as a *cis*-acting regulatory element, based on the prediction that the risk allele of the 5bp indel creates an additional binding site for the transcription factor SP1. This sequence-based prediction was verified experimentally by showing increased SP1 protein binding to the risk allele compared to the non-risk allele.

The SP1 protein is known to interact with the IFN stimulated response element (ISRE), which is found in the promoter region of IFN inducible genes, and that increases the expression of at least some of these genes<sup>129</sup>. This could also be the case for IRF5, which, as other IRFs, contains an ISRE motif in the promoter region. The result from the minigene analyses support the role of the 5bp indel as regulator of *IRF5* expression.

Our results do not exclude a functional role for other disease-associated polymorphisms in *IRF5*. Other possible functional polymorphisms belonging to the same group as the 5bp indel, are the SNP rs2004640, and the SNP rs10954213. The SNP rs2004640 is located at the exon-intron splice junction of the alternative exon 1b of *IRF5* and has been shown to be associated with the transcription level of *IRF5* mRNAs containing alternative exon 1b<sup>130</sup>. The SNP rs10954213 in the 3'-UTR of IRF5 has been suggested to correlate with the stability of IRF5 mRNA in the cell<sup>131</sup>. It should be noted that at least nine splice variant have been detected from the IRF5 gene, with alternative three first exons<sup>119</sup>. In peripheral blood mononuclear cells the most abundant *IRF5* transcript contains exon 1a, and the expression level of this transcript has been reported to be independent of the genotype of SNP rs2004640<sup>132</sup>. This finding speaks against rs2004640 as major functional variant of *IRF5* expression. It is possible however, that alternative spliced transcript containing exon 1b is expressed at higher levels in other tissues.

Second group of possible functional variants in the IRF5 gene are known and unknown proxies to the SNP rs10488631. No obvious functional roles for these variants have been predicted.

Further functional studies are needed to clarify the role of *IRF5* in the pathogenesis of autoimmune diseases. Several pathogenic mechanisms are possible. For example, increased expression of *IRF5* could lead to increased production of pro-inflammatory cytokines and thereby maintenance of inflammation and enhanced apoptosis, or a differential immune response depending on the expression of *IRF5* isoforms.

To identify polymorphisms that have functional consequences and a putative role in autoimmunity is of great importance. Such future studies could be paving the way for discovery of novel immunomodulatory treatments or even choosing suitable pharmacological therapy for patients.

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