

1 Gradual caldera collapse at Bárðarbunga volcano, Iceland, 2 regulated by lateral magma outflow

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43 **Abstract**

44 *Large volcanic eruptions on Earth commonly occur with collapse of the roof of a crustal magma*
45 *reservoir, forming a caldera. Only a few such collapses occur per century and lack of detailed*
46 *observations has obscured insight into mechanical interplay between collapse and eruption. We use*
47 *multi-parameter geophysical and geochemical data to show that the 110 km² and 65 m deep*
48 *collapse of Bárðarbunga caldera in 2014-15 initiated through withdrawal of magma, and lateral*
49 *migration through a 47 km long dyke, from a 12 km deep reservoir. Interaction between the*
50 *pressure exerted by the subsiding reservoir roof and the physical properties of the subsurface flow*
51 *path explain the gradual, near exponential decline of both collapse rate and the intensity of the*
52 *180-day- long eruption.*

53

54 Calderas are 1 - 100 km diameter depressions found in volcanic regions of Earth and other planets.
55 They mainly form by collapse of overburden into a subterranean magma reservoir during large
56 volcanic eruptions, including the largest known super-eruptions (1-8). From 1900 to 2014 CE, only
57 six cases have been documented and with varying degrees of detail. The collapses of Katmai in
58 1912 and Pinatubo in 1991 occurred during explosive silicic (andesite-rhyolite) eruptions, the
59 largest of the 20th century. The collapses of Fernandina in 1968, Tolbachik in 1975-76, Miyakejima
60 in 2000 and Piton de la Fournaise in 2007 were associated with mainly effusive mafic (basalt –
61 basaltic andesite) intrusive activity and eruptions (2, 9-12).

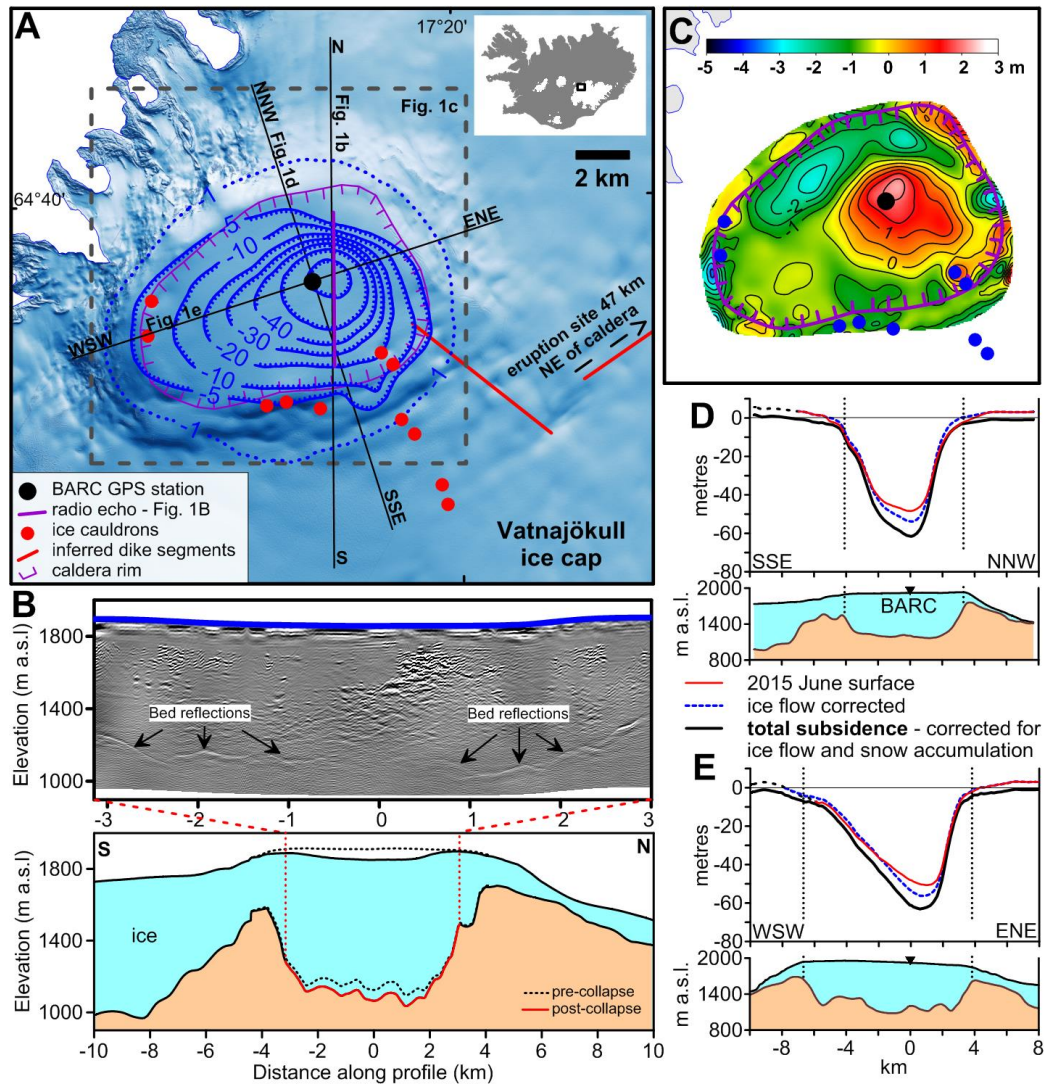
62 The consensus from field and modelling studies is that caldera collapse progresses from initial
63 surface downsag to fault-controlled subsidence (1, 8, 13, 14). The limited number of modern
64 examples and the scarcity of geophysical data leaves open the question of whether collapse occurs
65 suddenly or gradually during the course of an eruption. The issue of whether collapse drives magma
66 movement and eruption or eruption drives collapse also remains unresolved. Previous geological,
67 geophysical, and modeling studies have produced a diverse and inconsistent set of answers to such
68 questions (2, 4, 15, 16). The caldera collapse at Bárðarbunga in central Iceland from August 2014 to
69 February 2015 offers a unique opportunity to address them directly.

70 **The Bárðarbunga volcano and the Holuhraun eruption of 2014-15**

71 Bárðarbunga volcano (Fig. 1) and its related fissure swarms form a 150 km long volcanic system on
72 the boundary between the North-American and Eurasian tectonic plates. The volcano resides
73 beneath the Vatnajökull ice cap and has a broadly elliptic 11 by 8 km wide and 500-700 m deep
74 caldera with a long axis trending ENE. About 700-800 m of ice fills the caldera (17, 18). Over 20
75 eruptions have occurred on the fissure swarms outside the caldera in the last 12 centuries, including
76 three that produced 1-4 km³ of magma, but no eruptions are known within the caldera in this period.
77 (19).

78 At 4 UTC on 16 August 2014, the onset of intense seismicity beneath the caldera marked the
79 beginning of a major rifting event (20). The seismic activity was mostly located in the SE-corner of
80 the caldera in the first few hours, but it soon began to propagate out of the caldera towards the SE
81 (Fig. 2). After propagating to about 7 km from the caldera rim, fifteen hours after the onset of
82 seismicity (~19 UTC), the moving earthquake cluster took a 90° turn and started migrating towards
83 the NE. In the two weeks that followed, surface deformation and migration of seismicity indicated

84 that a magmatic dike propagated laterally northeastward for 41 km in the uppermost 6-10 km of the
 85 Earth's crust (20, 21). On 31 August, a major effusive eruption began above the far end of the dike;
 86 this lasted six months and produced $1.5 \pm 0.2 \text{ km}^3$ of lava ($\sim 1.4 \pm 0.2 \text{ km}^3$ of bubble-free magma)
 87 (22), making it the largest in Iceland (or Europe) since the 1783-84 Laki eruption. Combined with
 88 the $0.5 \pm 0.1 \text{ km}^3$ dyke (20), the total volume of identified intruded and erupted magma was 1.9 ± 0.3
 89 km^3 .



90

91 **Figure 1. Bárðarbunga and geometry of collapse.** A) Map showing the total caldera subsidence
 92 (in meters) at the end of collapse in February 2015. The blue dotted line is the 1-m subsidence
 93 contour. Minor sustained geothermal activity, monitored from aircraft, increased during the collapse
 94 with pre-existing ice cauldrons deepening by up to 50 m and new ones forming at the southern
 95 margin and to the southeast of the caldera (24). (B) Radio-echo sounding profile from 3 February,
 96 2015, and a cross-section of the caldera showing the collapse. The precollapse topography is
 97 obtained by subtracting the subsidence observed at the surface. (C) Modelled changes in ice
 98 thickness at the end of February 2015 resulting from ice flow in response to caldera collapse (24).
 99 D) North-northwest-south-southeast and E) west-southwest-east-northeast cross-sections as
 100 measured in June 2015, corrected for winter snow accumulation in 2014-15, measured in June
 101 2015, and modeled vertical ice flow. Subsidence extends 2-3 km beyond the preexisting caldera
 102 rims vertical (dotted lines), at which it amounts to 3-11 m.

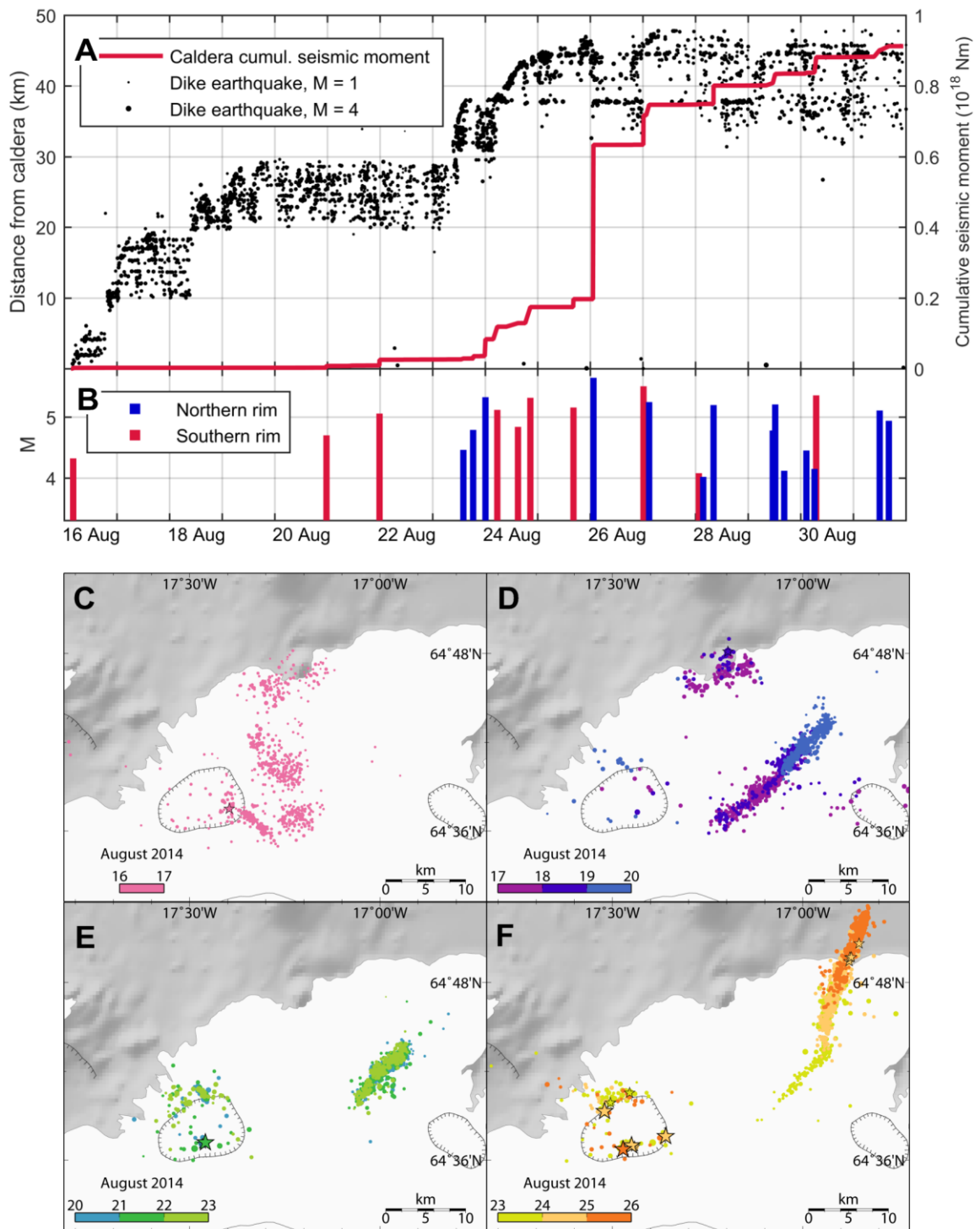
103 **The Onset of Collapse**

104 After the initial seismic activity in the caldera receded late on 16 August, seismicity was relatively
105 minor there until 20 August. At the same time our GPS time-series from stations close to the
106 caldera, suggest that deflation of the magma reservoir started on 16 August (20). On 20 August,
107 caldera seismicity increased progressively with a series of earthquakes of magnitude M4-M5.8
108 occurring in the following days (Fig. 2). The first two events occurred on the southern caldera rim
109 (M4.7 on 20 August and M5.1 on 21 August). Following these earthquakes, three similar magnitude
110 events occurred on the northern rim on 23 August, followed by four events on the southern rim on
111 24-25 August. On 26 August activity shifted again to the northern rim with a M5.8 earthquake, the
112 largest in the whole series. These data indicate that substantial movement on ring faults started on
113 the south side with the 20-21 August earthquakes, then began on the north side on 23 August, and
114 by 24 August the ring faults on both sides were slipping, a process that did not terminate until at
115 the end of February. Onset of collapse therefore likely occurred on 20 August with the ring fault
116 fully activated on 24 August. If we compare the evolution of the dike together with the seismic
117 moment release of the caldera collapse earthquakes, we can clearly see that the dike migration leads
118 the moment release curve (Fig. 2A). We therefore conclude that onset of collapse resulted from a
119 pressure drop in the reservoir as magma was laterally withdrawn into the propagating dike, with the
120 latter possibly primarily driven by regional tectonic tensional stresses (20).

121 The volume of the expanding dike on 20 August had reached approximately 0.25 km^3 , increasing to
122 0.35 km^3 on 24 August (20) with the source of this magma being the reservoir beneath the caldera.
123 The relatively minor caldera seismicity on 17-19 August indicates the material overlying the
124 magma reservoir deformed mostly elastically until it reached a critical failure point on 20-24
125 August. If we assume that the entire volume of eruptible magma within the reservoir was 1.9 ± 0.3
126 km^3 , then the critical volume fraction required to reach the failure point and trigger the collapse (23)
127 was 0.12-0.21.

128 As we recorded the caldera subsidence mainly on the ice (Fig. 1, Fig. S1), we made corrections and
129 additional measurements to derive the underlying bedrock displacement. Our main data on ice
130 surface changes and ice movements are repeated C-band radar altimeter surveys from aircraft, maps
131 made from optical satellite images and the continuously recording GPS station BARC (Bárdarbunga
132 Caldera) that we set up in the center of the caldera on 13 September 2014. The observed velocities
133 and displacements of the ice surface are displayed on Figs. 3A and 3B. We use these observations
134 to constrain three-dimensional Full-Stokes finite element modelling of ice-flow in response to the
135 collapse (24). The results show concentric flow, towards the point of maximum collapse within the
136 caldera, with maximum ice thickening at the center of $\sim 3 \text{ m}$ by February 2015 (Fig. 1C, Fig. S2).
137 The maximum ice surface lowering of $62 \pm 2 \text{ m}$, determined by aerial altimeter surveys, gives a
138 maximum bedrock subsidence of $65 \pm 3 \text{ m}$. Our data and models show that apart from the concentric
139 flow towards the deepest part of the subsidence (about 1 km east of BARC) horizontal flow was not
140 much affected (Fig. 3A). We therefore conclude that suggestions of a large increase in ice flow out
141 of the caldera during these events (25) cannot be fitted with our data.

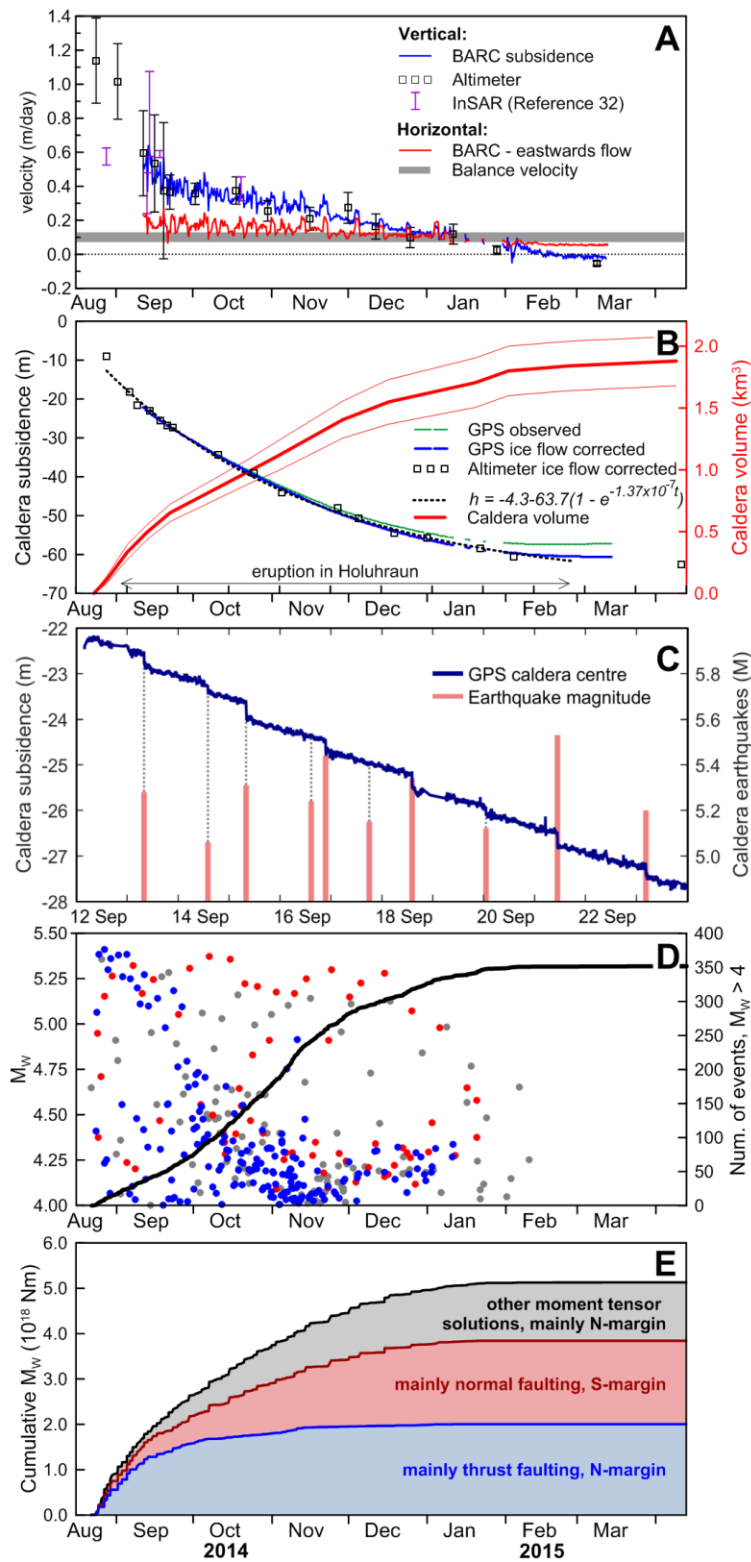
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143

144 **Figure 2. Onset of caldera collapse.** A) Cumulative seismic moment release from caldera
 145 earthquakes plotted together with distribution of seismicity along the dike length, using high quality
 146 relative locations of earthquakes (20), for the time period when the dike progressed away from the
 147 caldera. Black dots show individual earthquakes, with dot size scaling with magnitude. B)
 148 Significant caldera earthquakes with magnitudes above M4 plotted as impulses, where the height
 149 represents magnitude and color represents location on southern or northern rim. C) Map of NW
 150 Vatnajökull showing earthquake epicenters on 16 August, D) 17-19 August, E) 20-22 August and
 151 F) 23-25 August. The stars indicate the larger than magnitude M4 earthquakes in the caldera.

152



153

154 **Figure 3. Time series of collapse.** A) Vertical and horizontal velocities measured at the BARC
 155 GPS station in the center of the caldera (Fig. 1A), including the rate of vertical rate of ice surface
 156 subsidence found from altimeter aircraft data and optical satellite photogrammetry. The horizontal
 157 balance velocity is obtained by estimating the rate of the eastward ice flow required to transport the
 158 net snow accumulation of the glacier to the west of BARC. InSAR-derived vertical velocities are
 159 based on (32). B) Subsidence at the center of the caldera and subsidence volume evolution. The
 160 volume of the subsidence is obtained by subtracting the mapped surface from the precollapse
 161 surface. The caldera subsidence curve is fitted with an equation of the same form as eq. (1). C) High

162 resolution GPS for 12-23 September, showing $M>5$ earthquakes coinciding with 20-40 cm rapid
163 collapse, superimposed on gradual subsidence. Note that the size of the steps is influenced by the
164 location of BARC relative to the earthquake centroids, and cannot be used directly to infer the
165 proportion of ring fault slip that ruptured seismically or aseismically. D) Cumulative number of
166 $M>4$ caldera earthquakes, with magnitude evolution colored in red, blue and grey representing
167 clusters on the southern rim, the northern rim and smaller clusters, respectively (see Fig. S5). E)
168 Cumulative seismic moment for $M>4$ caldera earthquakes.

169

170 **Ice Flow, Subsidence Magnitude and Volume**

171 Bedrock subsidence exceeding 1 m occurred within an area of 110 km^2 that extended beyond the
172 pre-existing caldera (Fig. 1, Fig. S1). After termination of collapse the total subsidence at the pre-
173 existing caldera rims amounted to 3 to 11 meters (Fig. 1D and 1E). Using subglacial radio-echo
174 soundings we observed a down-sagged bedrock surface without any clear signs of fault offset (Fig
175 1B) or indications of water bodies at the ice bedrock interface. The limited resolution resulting
176 from the 600-800 m ice thickness means that we cannot on the basis of the radio-echo results
177 exclude the possibility of steep fault escarpments. However, substantial vertical fault movement at
178 the base of the glacier would result in high strain rates within the basal ice which would instantly
179 fracture the ice fabric and propagate upward. During drainage of subglacial lakes in Iceland, large
180 surface fractures induced by basal motion have been observed repeatedly (26) and can serve here as
181 an analog for the possible surface manifestations of vertical basal motion. The absence of such
182 surface ice fractures at Bárðunga indicates that no substantial fault escarpment formed at the
183 bottom. The calculated collapse volume is $1.8 \pm 0.2 \text{ km}^3$, not significantly different from the
184 combined volume of erupted and intruded magma (Fig. 3B).

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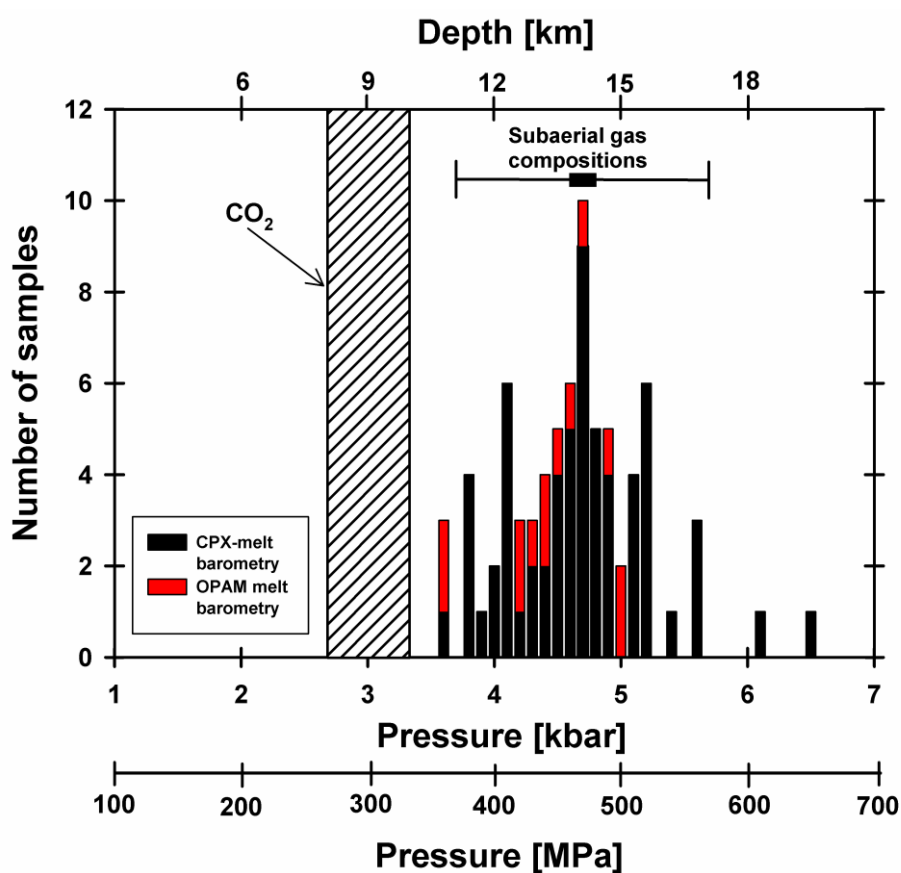
186 **Magma reservoir depth**

187 Lava chemistry, surface gas composition and geodetic modelling indicate drainage of a magma
188 reservoir at a depth of $\sim 12 \text{ km}$. The erupted lava is typical olivine tholeiite with a relatively uniform
189 chemical composition, consistent with efficient homogenization of melts before eruption. Several
190 independent geobarometers (Fig. 4) yield an equilibrium pressure of 350-550 MPa, indicating that
191 melt resided at depths of 11-16 km before the eruption. We obtained a similar result ($14 \pm 3 \text{ km}$)
192 from analysis of subaerial gas measurements (Fig. 4). This depth concurs with our regional
193 geodetic observations, which are dominated by a deflating source at 8-12 km depth beneath
194 Bárðarbunga, after the cessation of dike-related deformation in mid-September (Figs. S3 and S4).

195 **Seismicity and subsurface structure**

196 We used seismic data and Distinct Element Method (DEM) numerical modelling (24), to
197 characterize the deeper collapse structure as the reactivation of a steeply-inclined ring fault (Fig. 5).
198 We mostly observed seismicity at depths of 0-9 km beneath the northern and southern caldera rims
199 (Fig. 5B), with earthquakes being more numerous on the northern rim. This spatial pattern of
200 seismicity is consistent with fracturing above a deflating magma reservoir that was elliptical in
201 plan-view (27). In cross-section, the hypocenters indicate a steeply ($\sim 80^\circ$) outwards-dipping fault in

202 the northern cluster, while the southern cluster they indicate a vertical or near-vertical fault dip. A
 203 series of DEM forward simulations of a magma reservoir and ring fault system, as constrained by
 204 the hypocenter distribution and by the geobarometry data, tested the above structural interpretation
 205 against the observed NNW-SSE subsidence profile. The models indicate that a pre-existing and
 206 relatively low friction (coefficient of 0.1-0.2) ring-fault system controlled the subsidence at depth
 207 (Fig. 5C, D). Our best fitting models had preexisting faults dipping out at 80-85° away from the
 208 caldera center on the north side and at 85-90° toward the caldera center on the south side. The
 209 modeled pre-existing faults lay at 1-2 km below the surface on the north side and 3-4 km on the
 210 south side. Modeling of a more complex fault geometry or the inclusion of greater material
 211 heterogeneity may further improve the data fit, but presently lacks robust geophysical constraints.
 212 The arrangement of an outward dipping fault on one side of a caldera and an inward-dipping fault
 213 on the other is typical of ‘asymmetric’ or ‘trapdoor-like’ collapses produced in past analog and
 214 numerical modeling studies (8, 28, 29). It also occurs at Glencoe (29) and Tendurek (30) volcanoes
 215 . Finally, our finding is consistent with past seismological results that defined a very similar ring-
 216 fault geometry during the last period of activity at Bardarbunga in 1996 (31).



217

218 **Figure 4. Magma reservoir depth from geobarometric and subaerial gas analysis.** The vertical
 219 shaded-bar crossing the graph, indicates the minimum pressure obtained (~300MPa) from density
 220 barometry of plagioclase hosted CO₂-bearing fluid inclusions. Due to postentrapment modifications
 221 of fluid inclusions during magma ascent, this value is likely an under-estimate, as indicated by the
 222 dashed arrows. The results from the analysis of subaerial gas compositions are based on FTIR and
 223 MultiGAS measurements (24).

224

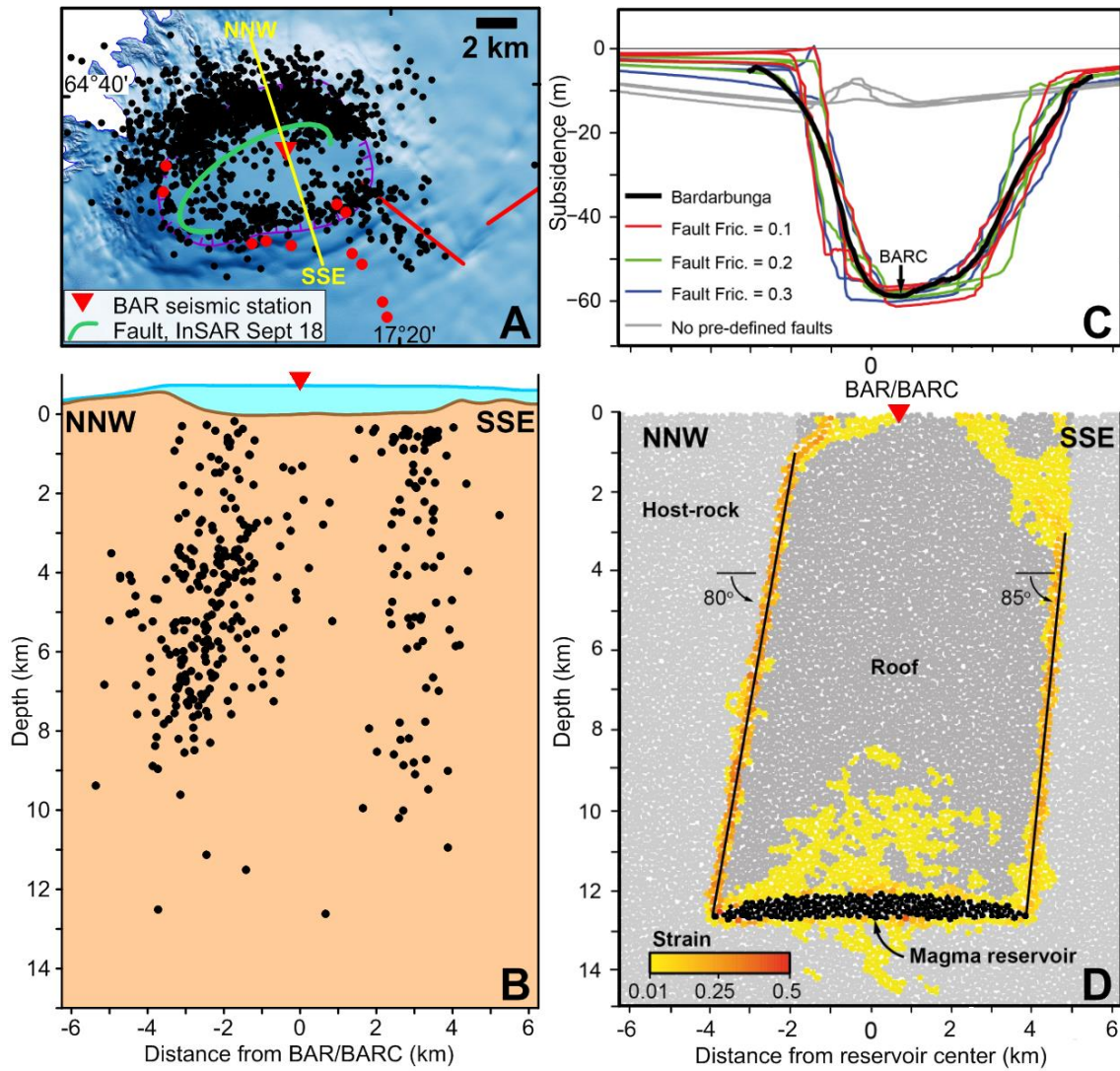
225 Through regional moment tensor (MT) inversion, we infer that the source mechanisms of 77 $M > 5$
226 events (Fig. S5) confined to two clusters beneath the northern and southern rim regions show
227 contributions of both shear and non-shear components. The shear components indicate possible
228 ruptures of segments on the ring fault. Shear failure on inward dipping ring faults, or the sudden
229 injection of magma in horizontal fissures forming sills have been proposed (32) to explain the shear
230 components of the observed earthquakes at Bárðarbunga. We, however, narrowed down on
231 plausible solutions by using the micro-earthquakes (Fig. 5A). The moment tensor solutions are well
232 constrained, but the inferred dip of the shear plane we obtain is uncertain since the non-shear
233 component, in this case a negative, sub-vertical compensated linear vector dipole (vCLVD), is
234 dominant. As a result, the shear orientation obtained depends very much on the decomposition
235 approach.

236 By using the constraint of the steeply outward dipping ring fault on the northern cluster we derive a
237 MT solution that is a combination of a negative vCLVD and steep E-W striking reverse faulting
238 (Fig. 3D, 3E and Fig. S5). In contrast, standard decomposition of the northern cluster MTs provides
239 normal faulting along steep N-S striking planes, a result that is inconsistent with the observed main
240 fault orientation. The southern cluster MTs are consistent with being composed of families (33) of
241 steep normal faulting earthquakes.

242 The large, negative vCLVD indicates a combination of downward contraction and horizontal
243 expansion, as has been observed in mines as well as in volcanic calderas during collapses (e.g. 31,
244 34). This could imply failure of support structures directly above or even within the magmatic
245 reservoir, or the sudden response of the reservoir fluid to vertical compression.

246 **Temporal development of subsidence and related seismicity**

247 Subsidence occurred gradually during the eruption (Fig. 3B). From an initial rate in the caldera
248 center of ~ 1 m/day during the first 20 days (Fig. 3B), subsidence declined in a near exponential
249 manner with time (24). Subsidence terminated when the eruption ended in February 2015. We can
250 associate some of the $M > 5$ caldera earthquakes, during the first couple of months of activity, with
251 drops of 10-40 cm, but subsidence was otherwise continuous (Fig. 3C). The gradual decline in the
252 rates of subsidence and caldera volume growth is mirrored by a decline in the cumulative seismic
253 moment, the latter reflecting a decrease in the number of larger earthquakes with time (Fig. 3D, 3E).
254 Nonetheless, in terms of the cumulative seismic moment of 5.07×10^{18} Nm for the $M > 4.0$ events,
255 this collapse is the second largest recorded, after that of Katmai (1912) (35). The geodetic moment
256 depends on the shear modulus, the fault area and the amount of slip assumed. The shear modulus
257 could be very low in regions of intense faulting such as on a caldera ring fault. The possible range
258 of the geodetic moment is found by considering a ring fault reaching from the surface to 12 km
259 depth, 60 m of slip and a shear modulus over a wide range, 2-20 GPa. This results in a moment of
260 4×10^{19} - 4×10^{20} Nm, or 10-100 times the cumulative seismic moment of the earthquakes. This
261 difference is consistent with the modeling of surface deformation observed during one of the events
262 (Fig S7).



263

264 **Figure 5: Fault geometry and collapse modelling:** A) Earthquakes 1 August – 17 October 2014,
 265 B) seismicity along a 2-4 km wide strip on the NNW-SSE cross section, depth relative to bedrock
 266 caldera floor. C-D) Two-dimensional DEM modeling of the collapse, constrained by subsidence
 267 geometry, earthquake locations in (B), and the geobarometry (Fig. 4). The geometry illustrated in
 268 (D) obtained the best agreement with the observations. The color scale shows the maximum finite
 269 shear strain. Surface displacement profiles for different preexisting fault frictions are provided in
 270 (C). Three model realizations are shown for each friction value.

271 Caldera-flowpath interaction and piston collapse modeling

272 We see a short-term (multihourly) mechanical coupling of the collapsing caldera and the distal dike
273 (south of eruption site) in the timing of earthquakes in the dike and at the caldera (Fig. 6A). Within
274 a six-hour window before and after large caldera earthquakes the frequency of dike earthquakes was
275 increased relative to background rate (24). We observed this pattern in the data after the beginning
276 of October 2014, when the dyke had stopped propagating and a quasi-steady magma flow path had
277 developed, until February 2015 when seismic activity stopped. For the three hours after caldera
278 earthquakes with magnitude $M > 4.6$, as well as for the three hours before caldera earthquakes with
279 $M > 4.0$, the increase in seismicity was significant (24) ($p = 0.05$; Fig. 6, Fig. S8).

280 At Bárðarbunga communication therefore existed between caldera subsidence events and pressure
281 changes in a conduit up to 48 km away. Spatiotemporal patterns of tilt at Kilauea Volcano, Hawaii,
282 show a similar phenomenon that can be explained by the propagation of pressure transients within
283 an elastically deformable dyke (36). By analogy, we can make the interpretation that caldera
284 earthquakes may generate a pressure pulse that leads to increased seismicity at the end of the dike.
285 The communication could be two-way, although it is difficult to explain a pressure pulse from the
286 dike towards the caldera. One possibility is that readjustment of the dike (e.g. sudden unblocking)
287 can increase the dike volume slightly and subsequently lower the magma pressure which then
288 translates back to the caldera. The communication may also be entirely one-way, from the caldera
289 to the dike: smaller caldera earthquakes, and/or aseismic deformation at depth just above the
290 magma reservoir may precede a large caldera earthquake, increasing dike pressure and dike
291 seismicity.

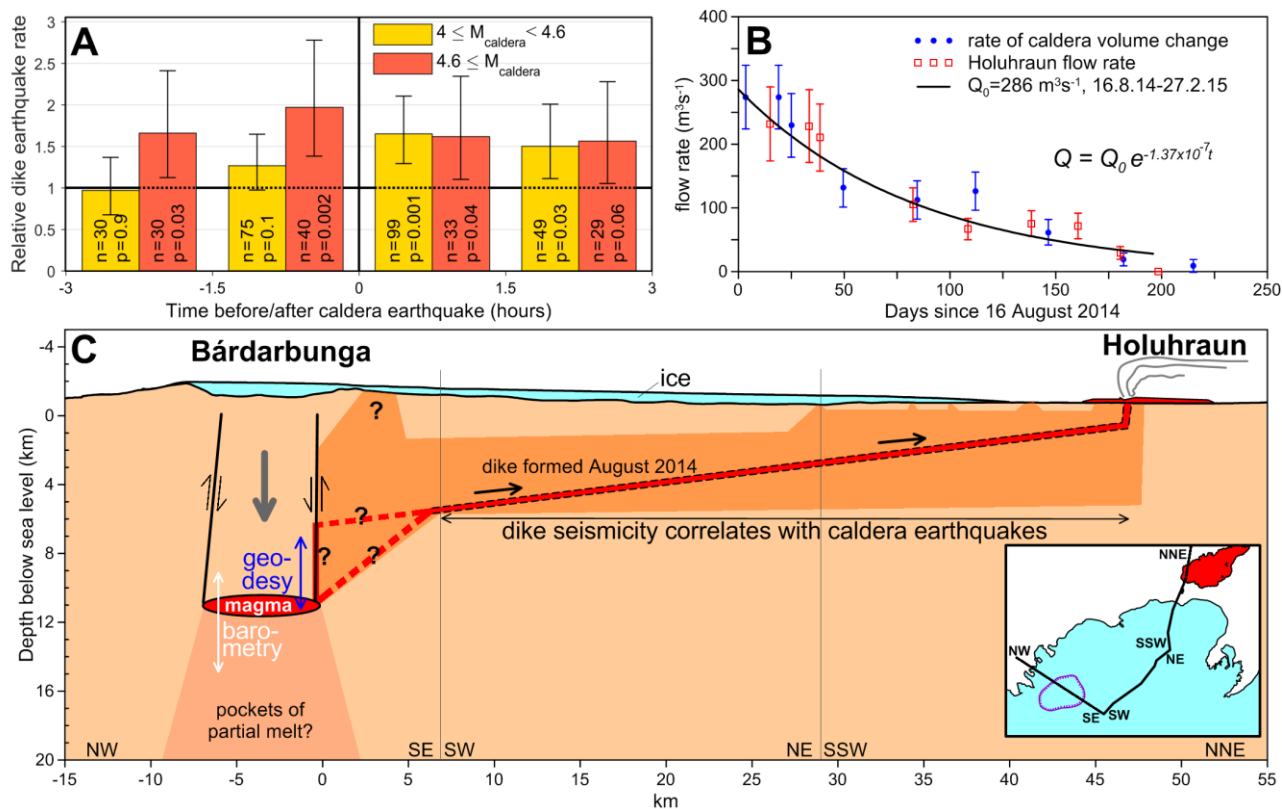
292 We explain the longer term (weeks to months scale) coupling in the form of the gradually declining
293 rates of caldera subsidence, caldera volume change and lava eruption (Figs. 3B, 6B) with a model
294 of a collapsing piston overlying a pressurized magma reservoir. We assume that the reservoir
295 pressure and fault friction each partially support the piston weight (24). Drainage of magma reduces
296 the reservoir pressure and causes piston subsidence (Fig. S6). This in turn raises the reservoir
297 pressure, leading to a feedback loop that maintains quasi-constant pressure at the magma reservoir
298 top, and drives further magma drainage. The pressure feeding the eruption drops, however, due to
299 the reduction in hydraulic head of magma over time. Kumagai et al. (37) also used a piston model to
300 explain caldera collapse at Miyakejima in 2000, but in their model no change in hydraulic head was
301 assumed and outflow rate was held constant.

302 Assuming that the time-averaged resistive force due to friction on the ring faults remains constant,
303 and that magma flow is laminar through a cylindrical pipe with radius r , and conduit length L , with
304 $L \gg r$, then

$$305 \Delta P \approx \Delta P_0 e^{-\frac{\pi \rho g r^4}{8A\eta L} t} \quad (1)$$

306 Where ΔP is the driving overpressure, ΔP_0 is the initial driving overpressure, ρ is the density of the
307 magma, g is gravitational acceleration, A is the cross-sectional area of the magma reservoir, η is the
308 dynamic viscosity of the magma and t is time (24). We estimated ΔP_0 and the constant in the
309 exponent, assuming that the measured subsidence within the caldera represents the decrease in
310 magma reservoir height with time (Fig 3B). Note, this represents a minimum estimate for ΔP_0 , as
311 there may also have been dilation at depth. The model also fits the measured caldera volume

312 change (Fig. 3B) and eruption rate (Fig. 6B). This model predicts the same form of decay in flow
 313 rate (exponential) as the standard ‘Wedge’ model of depressurisation of an overpressured magma
 314 body (38), but by a different mechanism. The feedback mechanism of re-pressurisation from the
 315 ongoing piston collapse enhanced the length and speed of dike propagation, and the duration of the
 316 eruption. In this model, therefore, both the eruption drives the collapse and collapse drives the
 317 eruption.



318

319 **Figure 6. Caldera - magma flowpath interaction.** A) Rate of dike earthquakes relative to
 320 background levels before and after significant caldera earthquakes of magnitude $>M4$. The p-values
 321 indicate the two sided significance and n is the number of earthquakes used. Error bars indicate
 322 90% confidence intervals (24). B) Exponential model of magma flow rate constrained by caldera
 323 GPS subsidence (24) compared with rate of volume change in caldera and eruption rate in
 324 Holuhraun. The eruption stopped on Day 194 (27 February, 2015) before the driving pressure
 325 reaches zero, as expected if the conduit becomes clogged by solidifying magma as the flow rate
 326 drops. Caldera subsidence also terminated around the end of February (see GPS ice-flow corrected
 327 subsidence in Fig. 3B). C) Schematic cross-section of caldera - magma reservoir - pipe-like magma
 328 flow path and eruption site after dike formation (20, 21). The inferred magma reservoir is set at 12
 329 km below bedrock caldera floor. It is possible that magma ascended first along the ring fault before
 330 forming the dyke above 6-10 km depth. We indicate the constraints on depth to magma chamber
 331 from geobarometry with a white arrow and from geodesy with a blue arrow.

332

333 Overview and implications

334 Table 1 contextualizes the key features of the 2014-15 Bardarbunga collapse with respect to those
 335 of the six other collapses instrumentally monitored to date. The areal extent of the Bardarbunga
 336 collapse (110 km²) is the largest yet observed historically and is comparable to that associated with
 337 major silicic eruptions in the geological record (6). The total subsidence (65 m) is one to two orders
 338 of magnitude smaller than all past collapses listed here, but the large area means that it has the
 339 fourth largest collapse volume (1.8 km³) overall. The erupted volume (1.4 km³) is the largest of the
 340 observed mafic collapses so far, although considerable uncertainty surrounds the volumes
 341 associated with the collapse of Fernandina. In volume terms, both the silicic eruptions and collapses
 342 of Katmai and Pinatubo were twice to six times larger. The cumulative seismic energy release at
 343 Bardarbunga (25 x 10¹³ J, see Table 1) is dwarfed by that of Katmai (1600 x 10¹³ J) but is similar to
 344 Miyakejima (22 x 10¹³ J), despite the much smaller area of the latter (1.9 km²). This is explained by
 345 the much greater subsidence at Katmai (>1200 m). The gradual collapse of Bardarbunga had the
 346 second longest duration (190 days) yet recorded. Only the duration of collapse at Tolbachik (515
 347 days) exceeds it. Finally, Bardarbunga has the longest confirmed length of an associated lateral
 348 intrusion (48 km) and the longest distance to the main vent (40 km).

349 Table 1: Instrumentally-monitored caldera collapses since 1900 AD.

350 **References for data:** *Bardarbunga*: (20); this study; *La Reunion*: (12,16,44-46); *Miyakejima*: (2, 11, 34, 47-
 351 49); *Tolbachik*: (50-53); *Fernandina*: (2, 9, 54); *Katmai*: (35, 41, 55); *Pinatubo*: (2, 15, 42, 43, 56)

Volcano	Year	Magma	Maximum subsidence (m)	Collapse duration (days)	Collapse area (km ²)	Collapse volume (km ³)	Reservoir depth (km)	Intrusion volume (km ³)†	Erupted volume (km ³)‡	Total magma volume (km ³)	Distance to vent (km)§	Intrusion length (km)¶	Seismic energy (x 10 ¹³ J)¶¶	Max. EQ#
Bárdarbunga	2015	Basalt	~65	190	110	1.8	11–16	0.5	1.4	1.9	40	48	25	5.8
La Reunion	2007	Basalt	~450	2	0.82	0.1	2–3	0.02	0.14	0.16	7	7	?	3.2
Miyakejima	2000	Basalt	~1600	40	1.9	0.6	4–7	1.2	0.01	1.21	5.6	35	22	5.6
Tolbachik	1976	Basalt	>500	515	2.5	0.35	4–6?	?	1.2	>1.2	28	~45	?	2.9
Fernandina	1969	Basalt	~350	12	7	2	?	?	0.2	>0.2	10.5?	10.5?	2	5.2
Katmai	1912	Rhyolite	>1300	3	8.8	5.5	2–5	?	13.5	>13.5	10	10	1600	7
Pinatubo*	1991	Dacite	~900	2	4	2	7–11	?	4.5	4.5	1	4	2	5.7

*All caldera collapses except Pinatubo formed in association with lateral withdrawal and intrusion of magma. †Intrusion volume values are typically constrained by inversions of data from geodetic networks and so are available only for the most recent events. ‡Erupted volumes are given as dense-rock equivalent—i.e., with porosity removed. §Distance measured from center of caldera to the most distant known vent active during collapse. ¶Estimated horizontal length of the intrusion, from locations of seismicity and/or inversions of geodetic data in all cases except Katmai. For Katmai and Fernandina, intrusion length is estimated as the distance from caldera to vent and is hence a minimum value. ¶¶Cumulative seismic energy release calculated by converting the cumulative scalar moments (M_0) by using a factor of 5×10^{-5} [from an energy-moment relationship determined by Kanamori *et al.* (57)]. #Maximum earthquake magnitude (EQ) associated with caldera formation. Magnitude determined from surface waves (M_s) is given for Tolbachik (53), Katmai (35), and Fernandina (54). For La Reunion, Earthquake duration magnitude (M_d) is used (12, 44). For Miyakejima and Bárðarbunga, the maximum moment magnitude (M_w) for collapse-related very-long-period events is given (34, 58, this study).

352

353 Our data and modelling show that withdrawal and eruption of magma triggered the collapse at
 354 Bardarbunga. For the likely depth to diameter ratio of the magma reservoir, the critical volume
 355 fraction required to trigger the onset of collapse (0.12-0.21) was much lower than that predicted by
 356 past analytical and analogue modelling (23, 39). A similar inference of low critical volume fractions
 357 at La Reunion and Miyakejima (16) was explained as a consequence of the reactivation of pre-
 358 existing ring faults, a proposition in line with our observations and analysis of the Bardarbunga
 359 collapse.

360 Nonetheless, we also show that there is a tight mechanical interplay between collapse and eruption
 361 throughout the process once collapse has started, with eruption driving collapse and vice versa on
 362 both hourly and eruption-long time scales. For the longer time-scale coupling, the results also show
 363 that the physical properties of both the magma reservoir roof and the magma pathway regulate

364 caldera collapse and magma outflow rate. Consequently, collapse at Bárðarbunga occurred
365 gradually and at a steadily (exponentially) declining rate. This is a very similar pattern to that
366 inferred for the 1968 Fernandina collapse (2, 16). In contrast to some model predictions (40) and to
367 the 2007 collapse of Piton de la Fournaise (16), we found no evidence for rapid and sustained
368 pressure increase in the magma reservoir as a result of collapse, possibly due to substantial ductile
369 behavior of the roof of the larger and deeper Bardarbunga magma reservoir (13, 16).

370 The question of whether or to what extent our understanding of caldera collapse at mafic volcanoes
371 such as Bardarbunga is transferrable to large silicic systems remains an open one. On the one hand
372 the gradual nature of collapse at Bardarbunga and Fernandina contrasts with the highly punctuated
373 collapse style inferred during explosive silicic eruptions like Katmai and Pinatubo (2, 41). In
374 addition, collapse at silicic volcanoes is generally considered to be triggered by eruption through a
375 central vent rather than through the lateral withdrawal mechanism seen at Bardarbunga. On the
376 other hand, the most silicic of all instrumentally monitored collapses, Katmai, was also clearly
377 associated with a lateral withdrawal. This mechanism could therefore be more widespread at silicic
378 calderas than commonly considered. Furthermore, the punctuated collapse style inferred for the
379 Katmai and Pinatubo cases rests on large (M5-7) earthquakes that yield dramatic steps in a curve of
380 cumulative seismic release against time (2). The locations and source mechanisms of these
381 apparently collapse-related earthquakes are poorly constrained, however. As best highlighted in the
382 case of Pinatubo, a regional tectonic origin for them cannot be precluded (15, 35, 42, 43).
383 Consequently, Bardarbunga 2014-15 provides our clearest picture yet of how caldera collapse can
384 be triggered during large eruptions, and how the dynamics of the subterranean magma flow path
385 and the interaction with magma reservoir pressure regulates eruption rates and the rate of collapse.

386 **Materials and methods**

387 We mapped changes in ice surface topography with time by using aircraft-based radar altimetry,
388 satellite optical photogrammetry, and a continuously recording GPS station installed on the ice
389 surface. We modeled the ice flow within the caldera by using a full-Stokes finite element model
390 constrained by the horizontal GPS velocities. We measured the caldera bedrock topography through
391 radio-echo sounding. We mapped changes in lava volume with a ground-based theodolite and
392 aircraft altimetry. Bulk density of the lava was determined from gravity profiles. We used
393 interferometric synthetic aperture radar (InSAR) to determine coseismic ground deformation at the
394 caldera for several 24-hour periods spanning large caldera earthquakes. We ran elastic dislocation
395 models of this deformation to infer the location of faults that slipped during the
396 earthquakes. Microearthquakes (M. 2) at the caldera were recorded by the Icelandic national seismic
397 network and relatively relocated by using a standard 1D velocity model. The microearthquakes
398 were shifted southward by using the faults inferred from the InSAR modeling. We performed a
399 regional moment tensor inversion for all events with $M > 5$, adopting a full (MT point source
400 approximation and elucidating double-couple, compensated linear vector dipole and isotropic
401 components. We extended the interpretation of source processes to smaller events by applying a
402 waveform similarity analysis, and we more precisely determined locations by using relative location
403 techniques. We evaluated the role of preexisting ring-fault structures on collapse by using 2D DEM
404 modeling. We determined the approximate depth of the magma reservoir from a point-pressure
405 source model in an elastic half space, constrained by post-rifting InSAR and GPS data. We
406 computed caldera-dike seismicity correlations by calculating the number of dike earthquakes in 1.5-
407 hour bins before and after large caldera earthquakes; P values were computed with a likelihood ratio

408 test. We analyzed major element compositions of minerals and glasses by using an electron
409 microprobe. Fluid inclusions within phenocrysts were analyzed by optical microscopy and confocal
410 Raman spectroscopy. Three independent thermobarometers constrained the depth of magma
411 accumulation before the onset of the eruption: glass thermobarometry, clinopyroxene-liquid
412 thermobarometry, and CO₂ density barometry. We also measured the composition of subaerial
413 eruptive gases on multiple occasions with an open-path Fourier transform infrared spectrometer
414 (FTIR) and a multicomponent gas analyzer system (Multi-GAS). Finally, we modeled caldera
415 subsidence and eruption rate by considering analytically a collapsing piston overlying a pressurized
416 magma reservoir and driving magma flow through a cylindrical pipe.

417

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658

659 **One page summary:**

660 **Gradual caldera collapse at Bárðarbunga volcano, Iceland,**
661 **regulated by lateral magma outflow**

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673 **INTRODUCTION:** The Bárðarbunga caldera volcano in central Iceland collapsed from August
674 2014 – February 2015 during the largest eruption in Europe since 1784. An ice-filled subsidence
675 bowl 8 x 11 km wide and up to 65 m deep developed, while magma drained laterally for 45 km
676 along a subterranean path and erupted as a major lava flow northeast of the volcano. Our data
677 provide unprecedented insight into of the workings of a collapsing caldera.

678 **RATIONALE:** Collapses of caldera volcanoes are, fortunately, not very frequent, as they are often
679 associated with very large volcanic eruptions. On the other hand, the rarity of caldera collapses
680 limits insight into this major geological hazard. Since the formation of Katmai caldera in 1912,
681 during the 20th century's largest eruption, only five caldera collapses are known to have occurred
682 before that at Bárðarbunga. We used aircraft-based altimetry, satellite photogrammetry, radar
683 interferometry, ground-based GPS, evolution of seismicity, radio-echo soundings of ice thickness,
684 ice flow modeling and geobarometry to describe and analyze the evolving subsidence geometry, its
685 underlying cause, the amount of magma erupted, the geometry of the subsurface caldera ring faults
686 and the moment tensor solutions of the collapse-related earthquakes.

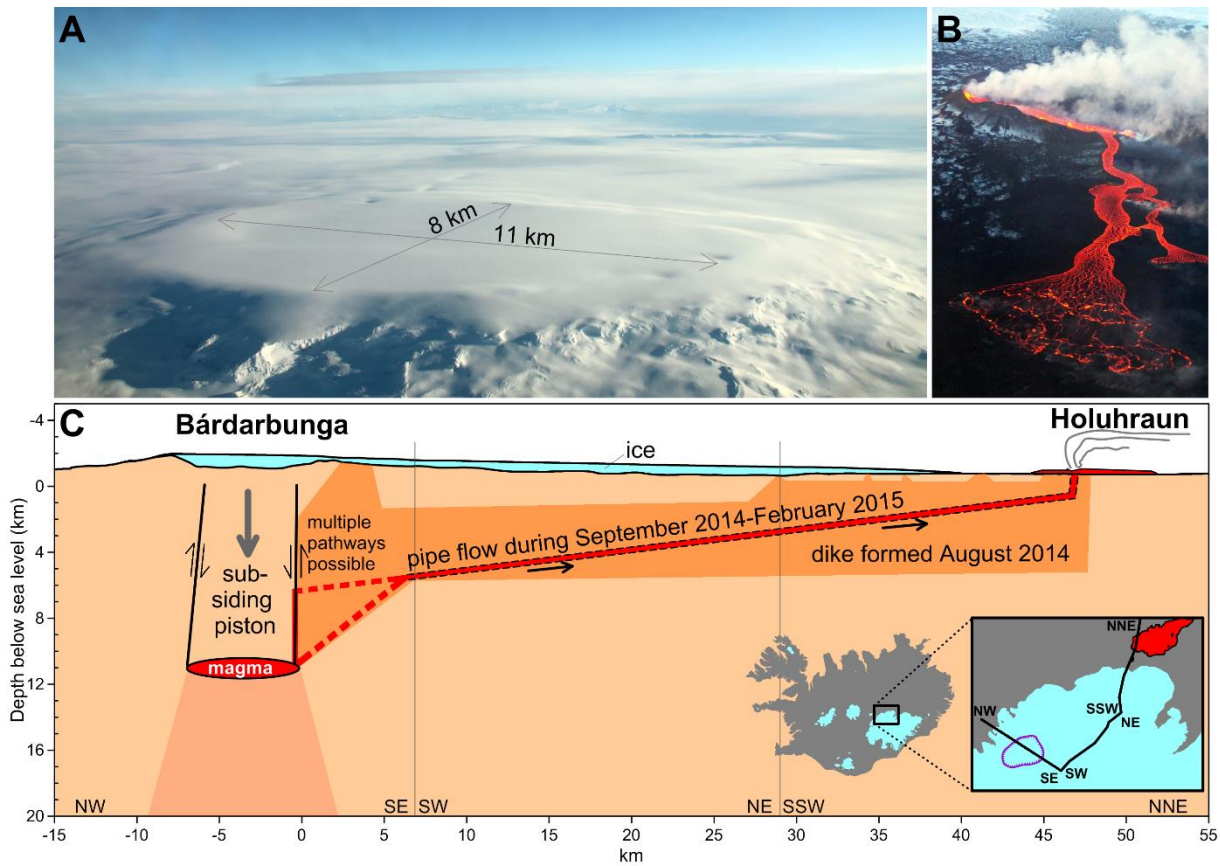
687 **RESULTS:** After initial lateral withdrawal of magma for some days though a magma-filled
688 fracture propagating through the Earth's upper crust, pre-existing ring faults under the volcano were
689 reactivated over the period 20-24 August, marking the onset of collapse. On August 31, the eruption
690 started and it terminated when the collapse stopped, having produced 1.5 km³ of basaltic lava. The
691 subsidence of the caldera declined with time in a near exponential manner, in phase with the lava
692 flow rate.

693 The volume of the subsidence bowl was about 1.8 km³. Using radio-echo soundings, we find that
694 the subglacial bedrock surface after the collapse is down-sagged with no indications of steep fault
695 escarpments. Using geobarometry, we determined the source depth of the magma to be
696 approximately 12 km and modelling of geodetic observations gives a similar result. High precision
697 earthquake locations and moment tensor analysis of the remarkable magnitude M5 earthquake
698 series are consistent with steeply dipping ring faults. Statistical analysis of seismicity reveals
699 communication over tens of kilometers between the caldera and the dyke.

700 **CONCLUSIONS:** We conclude that interaction between the pressure exerted by the subsiding
701 reservoir roof and the physical properties of the subsurface flow path explain the gradual near
702 exponential decline of both collapse rate and the intensity of the 181-day long eruption. By
703 combining our various data sets, we show that the onset of collapse was caused by outflow of

704 magma from underneath the caldera when 12-20% of the total magma intruded and erupted had
 705 flowed from the magma reservoir. However, the continued subsidence was driven by a feedback
 706 between the pressure of the piston-like block overlying the reservoir, and the 47 km long magma
 707 outflow path. Our data provide better constraints on caldera mechanisms than previously available,
 708 demonstrating what caused the onset, and how both the roof overburden and the flow path
 709 properties regulate the collapse.

710



711

712 **The Bárðarbunga caldera and the lateral magma flowpath to the Holuhraun eruption site.**
 713 (A) Aerial view of the ice-filled Bárðarbunga caldera on 24 October 2014, view from the north. (B)
 714 The effusive eruption in Holuhraun, 45 km to the northeast of the caldera. (C) A schematic cross-
 715 section through the caldera and along the lateral subterranean flow path between the magma
 716 reservoir and the surface.

717